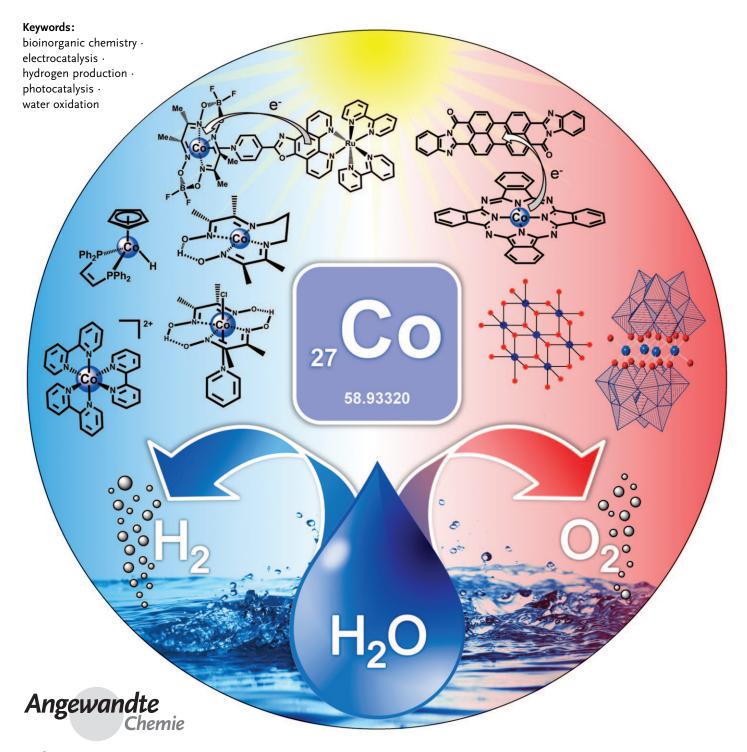


Photocatalytic Water Splitting

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# **Splitting Water with Cobalt**

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 $oldsymbol{T}$  he future of energy supply depends on innovative breakthroughs regarding the design of cheap, sustainable, and efficient systems for the conversion and storage of renewable energy sources, such as solar energy. The production of hydrogen, a fuel with remarkable properties, through sunlight-driven water splitting appears to be a promising and appealing solution. While the active sites of enzymes involved in the overall water-splitting process in natural systems, namely hydrogenases and photosystem II, use iron, nickel, and manganese ions, cobalt has emerged in the past five years as the most versatile nonnoble metal for the development of synthetic  $H_2$ - and  $O_2$ -evolving catalysts. Such catalysts can be further coupled with photosensitizers to generate photocatalytic systems for light-induced hydrogen evolution from water.

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#### 1. Introduction

Few biological processes have attracted the interest from bioinorganic chemists as photosynthesis. Photosynthesis allows bacteria, algae, and plants to use solar energy to sustain their growth through the production of biomass. From its origin, mankind has used this biomass, such as wood, as its main energy resource. Fossil fuels now form the basis of the world economy. As their reserves are rapidly diminishing, the current trend is to develop processes to transform biomass into biofuels, but this is likely to compete with agricultural food production. At the same time, photovoltaics appears to be one of the most promising energy technologies as it allows the conversion of solar energy into electrical power. Actually, the amount of solar energy reaching planet Earth is several orders of magnitude greater than that required for human development, so that even low conversion efficiency would be sufficient to solve the upcoming energy crisis.<sup>[1]</sup> The issue here is to find a way to store this energy, because worldwide energy demand does not correlate with the availability of sunlight.<sup>[2]</sup> Hydrogen production, through the reduction of water in electrolysers, is currently one of the most convenient ways to store energy durably if the electrical energy is initially obtained from renewable resources. While electrolysis is a mature and robust technology, the most promising devices, which are based on proton exchange membranes, rely on the use of platinum as an electrocatalyst to accelerate both hydrogen evolution [Eq. (1)] and water oxidation [Eq. (2)].

$$2 H^+ + 2 e^- \rightarrow H_2$$
 (1)

$$H_2O \rightarrow \frac{1}{2}O_2 + 2H^+ + 2e^-$$
 (2)

However, this rare and expensive metal is not itself a sustainable resource, [3] so the viability of a hydrogen economy depends on the design of new efficient and robust electrocatalytic materials based on abundant elements. The question of the limitations of resources of chemical elements on earth and of the new strategies to develop to allow a sustainable access to them is in fact a very general one. It not only applies to noble metals but also to lithium and rare-earth elements, for example. It will undoubtedly result in the emergence of a new scientific field of research which will address the three following issues: 1) Reducing the amounts of active materials in technological devices; 2) substituting cheap and abundant elements for expensive and rare ones; and 3) developing technologies to recover and recycle all of these elements.

Another issue involves using sunlight directly as the energy source for water splitting, without the intermediate production of electricity, thereby reproducing the direct lightto-chemical energy transduction achieved by photosynthetic organisms. In such a process, light is used to extract electrons from water, which is oxidized to O2. [4] Most organisms use these photogenerated electrons to reduce atmospheric carbon dioxide and produce carbohydrates, proteins, or lipids as the main constituents of their biomass, but some microorganisms, such as cyanobacteria or microalgae, are able, under very specific conditions, to photosynthesize hydrogen as well.<sup>[5-8]</sup> Understanding this biological process and exploiting this knowledge for designing original synthetic molecular systems achieving a similar function is the basis of a large field of research called "artificial photosynthesis".[9-11] When restricted to hydrogen production from sunlight and water, it falls into the category of light-driven water splitting [Eq. (3)]. This reaction is thermodynamically uphill, with

$$H_2O \to H_2 + \frac{1}{2}O_2$$
 (3)

2.46 eV ( $\Delta_r G^{\circ} = 238 \text{ kJ mol}^{-1}$ ) required to split one water

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molecule into  $H_2$  and  $O_2$ . The energy of two photons from the visible (800 nm/1.56 eV–400 nm/3.12 eV) to infrared (down to 1014 nm/1.23 eV) domain is enough to drive this reaction to completion. Through this photochemical process, sun energy is thus stored and converted into  $H_2$ , which upon oxidation can release 2.46 eV per molecule back.

The whole natural process may be divided into three distinct steps: 1) an initial light-harvesting process and local charge separation at chlorophylls in photosystems I and II, 2) proton-coupled electron transfers between redox cofactors along the photosynthetic chain allowing further spatial charge separation and preventing charge recombination, and 3) multi-electron generation of hydrogen and oxygen catalyzed by remarkable enzymatic sites, such as the dinuclear NiFe and FeFe clusters in hydrogenases<sup>[12]</sup> or the oxygenevolving CaMn<sub>4</sub> center (OEC) of photosystem II (PSII). [4]

While the first two topics have been the core of artificial photosynthesis for the last two decades, and also the subject of comprehensive reviews, [13-23] significant achievements have been made only in the recent years as far as the design of efficient molecular catalysts for both hydrogen and oxygen evolution is concerned. These comprise FeFe, [24] NiRu, [25-30] NiMn, [31] and NiFe [25,32-34] models of the active sites of hydrogenases as well as manganese [35] and ruthenium [36-40] catalysts as functional mimics of the PSII OEC. These systems have been reviewed recently. [24,25,41-44]

Although cobalt has no biological relevance for water splitting, and although it is significantly less abundant (20-30 ppm) than Fe (6.3 %), Mn (0.1 %), or Ni (90 ppm), it is now emerging as an interesting metal for its catalytic power for Reactions (1) and (2). In this Review, we discuss recent developments regarding the design, characterization, and evaluation of cobalt-based molecular catalysts and show how they can be coupled with photosensitizers to generate lightdriven systems for H2 or O2 evolution. We also provide general methods to evaluate the performances of such molecular catalysts and show how such systems can be immobilized onto conducting materials so as to form electrodes or photoelectrodes to be integrated in a photoelectrochemical (PEC) cell for light-driven hydrogen generation from water. Finally we also discuss cobalt oxide materials, which appear to be promising catalysts for water oxidation.

# 2. Cobalt Catalysts for H<sub>2</sub> Evolution

Reduction of protons to hydrogen [Eq. (1)] appears to be a very simple reaction. Unfortunately it is slow on most electrodes, except on platinum and other noble metals, because it is a multielectron process. The combination of a standard and cheap electrode material with a coordination complex that is able to catalyze the reaction at a reasonable potential so as to lower the activation potential is a relevant strategy as an alternative to the use of platinum. [45]

The potential of  $H_2$ -evolving cobalt-based catalysts has recognized a long time ago. Most of the catalytically active systems are square-planar macrocyclic or pseudo macrocyclic complexes, such as [14]diene- $N_4$  macrocyclic cobalt complexes 1 and  $2^{[47]}$  and [14]tetraene- $N_4$  analogues  $3-5^{[48]}$  (Figure 1). Water-soluble cobalt porphyrin derivatives 6-8

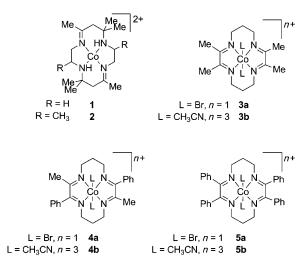


Figure 1. Structures of cobalt complexes with [14]tetraene- $N_4$  and [14]diene- $N_4$  ligands.

(Figure 2), [49] are also quite stable as catalysts but are prone to adsorb at the electrode surface. The cobaloxime [Co- $(dmgBF_2)_2(OH_2)_2$ ] (9; Figure 3), initially developed as a mimic of vitamin  $B_{12}$ , was also recognized early on as a



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Murielle Chavarot-Kerlidou received her PhD in 1998 from the University Joseph Fourier (Grenoble). After a postdoctoral period in the group of Dr. Zoe Pikramenou (University of Birmingham, UK) studying photoinduced supramolecular processes based on luminescent metallocyclodextrins, she spent two years in the group of Marc Fontecave developing chiral-at-metal ruthenium catalysts for enantioselective oxidation. She obtained a CNRS position in 2002 at the Université Pierre et Marie Curie (Paris), where her research interests dealt with the develop-

ment of new applications of the arene–tricarbonyl metal complexes. In 2009, she moved to the Laboratory of Chemistry and Biology of Metals to work on hydrogen photoproduction.



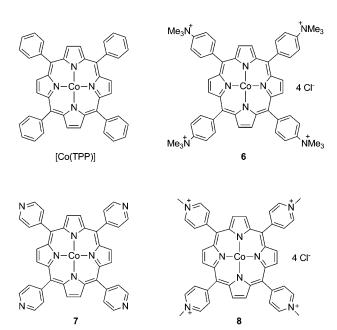


Figure 2. Structures of cobalt porphyrin complexes.

powerful catalyst for hydrogen evolution from an acidic aqueous solution in the presence of reducing agents such as divalent metal salts.<sup>[50]</sup> Recently, 9 and other cobaloxime derivatives 10-14a (Figure 3) were shown to act as electrocatalysts for H<sub>2</sub> evolution from nonaqueous acidic solutions, with the electrons provided by a glassy carbon working electrode. [48,51-54] Even more recently, imine/oxime cobalt complexes 15–21 (Figure 4) were also investigated. [55,56] Compounds 15-17, which contain tetradentate ligands, are much more stable with respect to hydrolysis than cobaloxime.[55] These systems all have the open axial coordination sites required for catalysis. Octahedral polypyridine derivatives, such as 22 derived from  $[Co(bipy)_3]^{2+}$  or 23 (Figure 5) with two labile ligands in cis positions, have been reported.[57-61] Some coordinatively saturated compounds, as octahedral hexaamino complexes 24-27 (Figure 6), [62] and including sepulchrate derivatives [62,63] and clathrochelate trisdioxime compounds 28–29 (Figure 7), [64]



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ticular iron–sulfur enzymes involved in a variety of metabolic and biosynthetic processes. He has developed bioinspired chemical approaches to obtain original molecular catalysts, for example, for hydrogen production.

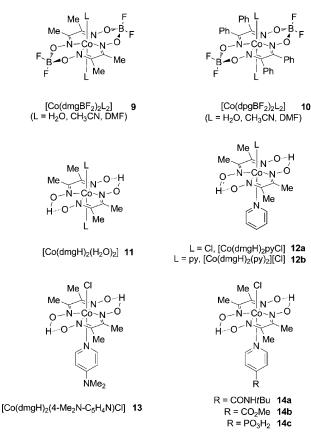


Figure 3. Structures of cobaloximes.

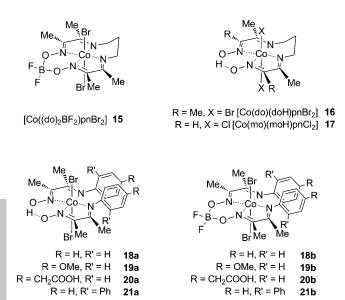


Figure 4. Structures of diimine-dioxime cobalt compounds.

have also been described. A last class is provided by organometallic compounds containing cyclopentadienyl (30–33)<sup>[63,65,66]</sup> or diphosphine (34)<sup>[67,68]</sup> ligands (Figure 8). We will not survey all of the examples, but will rather select some of the above-mentioned systems to highlight the specific features that are key to provide catalytic  $H_2$ -evolving activity.



Figure 5. Structures of cobalt polypyridine compounds

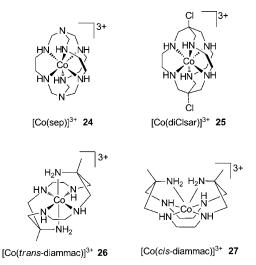


Figure 6. Structures of hexaaminocobalt complexes

Figure 7. Structures of clathrochelate tris(dioxime) cobalt compounds.

# 2.1. Evaluation of the H2-Evolution Catalysts

Molecular electrocatalysts are currently evaluated in organic or aqueous solution. The common way to demonstrate electrocatalytic ability for hydrogen evolution consists

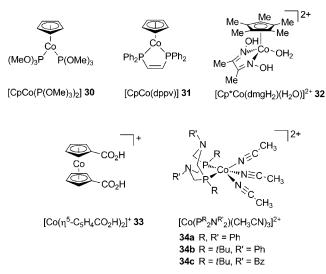


Figure 8. Structures of organometallic cobalt catalysts (Bz = benzyl).

in recording cyclic voltammograms (CV) of the studied complex in a given solvent in the presence of increasing amounts of a proton source, typically a weak acid. Carbon, gold, or ITO (indium tin oxide) are typically used as electrode materials for such studies. Catalytic hydrogen evolution is evidenced by the appearance of an irreversible wave that grows larger with increasing acid concentrations. Analytical confirmation of H<sub>2</sub> production is generally carried out with a bulk electrolysis experiment coupled to coulometric monitoring on a solution containing the catalyst and a large excess of acid. The electrode potential is kept constant at a value corresponding to that of the electrocatalytic wave. The evolved hydrogen is characterized by gas chromatography (GC) and quantified either by GC or volumetric measurements. Bulk electrolysis experiments can also help provide evidence for catalytic activity, which is too slow to observe at the CV scan rate.

Figure 9 shows the cyclic voltammograms of the cobaloxime [Co(dmgH)<sub>2</sub>pyCl] (12a) recorded in DMF at a glassy

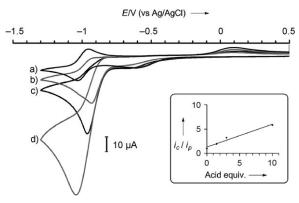


Figure 9. Cyclic voltammograms of 12a  $(1.0 \text{ mmol L}^{-1})$  in the presence of various amounts of Et<sub>3</sub>NHCl recorded in a DMF solution of  $nBu_4NBF_4$  (0.1 mol L<sup>-1</sup>) on a glassy carbon electrode at 100 mVs<sup>-1</sup>: a) 0 equiv, b) 1.5 equiv, c) 3.0 equiv, d) 10 equiv. Potentials are quoted versus Ag/AgCl/NaCl 3 mol L<sup>-1</sup>. Inset: catalytic current enhancement versus acid concentration.



carbon electrode in the presence of increasing amounts of triethylammonium chloride.<sup>[51]</sup> The first catalytic parameter that can be extracted from these data is the potential at which the reduction of Et<sub>3</sub>NH<sup>+</sup> into H<sub>2</sub> occurs. However, depending on the authors, it is defined either as the position of the peak of the catalytic wave, that of the onset of the same wave, or that for which half of the maximum current is obtained (halfwave potential). In practice, this leads to differences of up to 200 mV in the measured values for the same catalyst assayed under similar conditions. Furthermore, distinct electrocatalysts are generally assayed under different conditions (choice of solvent, proton source, acid concentration, temperature) and the standard potential of the H<sup>+</sup>/H<sub>2</sub> couple strongly depends on both the solvent and the  $pK_a$  value of the acid used as a source of protons. Therefore, a direct comparison of different catalysts exclusively on the basis of the electrocatalytic potential reported for hydrogen evolution is often impossible. This problem can be overcome if the overpotential requirement is instead considered for comparison. This parameter is defined as the difference between the potential needed to be applied to the system to make it function at a specified rate and the standard potential of the redox couple of the H<sup>+</sup>/H<sub>2</sub> couple under the operating conditions.<sup>[72]</sup> It is a measure of the energy required for driving this reaction at a significant rate as compared to the thermodynamic limit<sup>[72–74]</sup> and is related to an activation energy, as temperature does for homogeneous reactions. It thus corresponds to a fraction of energy that is lost during the reaction and directly relates to the efficiency of the energetic transduction process. We recently proposed a standardized method using the half-wave potential value of the electrocatalytic wave as a reliable determination of the overpotential requirement for a given catalyst. This new method enables direct comparison between systems assayed under various conditions and over a wide range of concentrations of the acid, and we hope that it will be used for the characterization of the new catalytic systems to be reported.<sup>[72,75]</sup> In the following, however, we will exclusively quote the overpotential requirement values found in the original reports. For the system reported in Figure 9, electrocatalytic hydrogen evolution occurs at a potential of −0.98 V vs Ag/AgCl, which corresponds to an overpotential requirement of about 200 mV. Overpotential requirement values in the 200-300 mV range are obtained with other cobaloxime derivatives 9-14 and diimine-dioxime cobalt complexes 15–21, which appear on this basis to be the most efficient H<sub>2</sub>-evolving cobalt catalysts to date.

The second parameter used to characterize a catalyst is the turnover frequency (TOF). As the current measured at an electrode is correlated to the number of electrons exchanged per second with the redox-active compounds in the solution (here the catalyst), there is a direct relationship between turnover frequency and the catalytic current measured by cyclic voltametry. The turnover frequency (apparent firstorder rate constant) can however be determined analytically in only very specific cases depending on the catalytic regime. [53,67,76] Numeric simulations of the cyclic voltammograms using DigiSim, DigiElch, [77-82] or related software may allow an estimation of the catalytic rate, but only if the catalytic mechanism is established. [48,51,52] As an example, the rate-determining step in H<sub>2</sub> evolution catalyzed by 12 (Figure 9) is the protonation of a metal-hydride complex, and its constant was estimated to  $1.35 \times 10^4 \, \text{mol}^{-1} \, \text{L s}^{-1}$ . This yields a turnover frequency of 1350 s<sup>-1</sup> for an acid concentration of 0.1 mmol  $L^{-1}$ .

Alternatively, the catalytic current-enhancement parameter, expressed as the  $i_c/i_p$  ratio with  $i_c$  being the catalytic current and  $i_p$  the peak current associated with a monoelectronic wave of the catalyst, is used as a proxy for the turnover frequency. The inset in Figure 9 shows that the catalytic current enhancement depends linearly on the acid concentration for low concentrations of the proton source, which means that the catalytic rate is limited by mass transport. [83] Although the catalytic current enhancement does not allow an accurate estimation of the turnover frequency, this parameter allows catalysts to be compared under similar acid concentrations without any knowledge of their respective operating mechanisms. It is important to note that the rate of electrolysis cannot be related to the intrinsic turnover frequency of the catalyst, as in such an experiment the current is limited by diffusion within the separated compartments of the electrolysis cell.

Finally, a catalyst suitable for practical applications needs to have relatively high thermodynamic and kinetic stability under operating conditions and thus high turnover numbers. From the amount of catalyst present in the cell and the charge passed through the cell during a bulk electrolysis experiment, a turnover number can be simply derived. The robustness is thus related to the total turnover number achieved by the catalyst before deactivation. Most of the time, bulk electrolysis experiments are not carried out until complete loss of activity; thus, a lower limit for stability can only be determined from the reported data.

Another useful parameter for characterizing the system is the Faradaic yield, which is also measured during a bulk electrolysis experiment and corresponds to the ratio of the amount of H<sub>2</sub> evolved divided by half of the charge (expressed in Faraday units) passed through the cell. The Faradaic yield quantifies the selectivity of the catalyst for H<sub>2</sub> evolution; values far from unity indicate that a significant amount of charge is used to produce something apart from H<sub>2</sub>. Low turnover numbers together with low Faradaic yields are associated with extended reductive decomposition of the catalyst. Table 1 gathers the performance of the various cobalt-based catalysts shown in Figure 1–8.

Typically, molecular catalysts do not have turnover numbers of more than a few hundred. This figure may appear quite low when put in perspective of technological applications. However, these are only preliminary characterizations, and exploitation of a molecular catalyst in a practical device can only be achieved after heterogenization; that is, incorporation into an electrode material. In this configuration, more extensive stability tests have then to be carried out. It is interesting to note that grafting of such molecular catalysts onto the surface of an electrode material can provide stabilizing interactions and avoid bimolecular degradation upon cycling, thus allowing a significant increase of the robustness of the catalyst. [84,85]



 $\textit{Table 1:} \ \, \text{Electrocatalytic performances of the distinct cobalt-based } \, \, \text{H}_2\text{-evolving systems described in the literature.}$ 

		Conditi	ons	CV Bulk electrolysis experim				
Catalyst	Electrode	Proton source	Medium	Electrocatalytic potential	Applied potential	TON (reaction time)	Faradaic yield	Ref.
1	Hg <sup>[b]</sup>	H <sub>2</sub> O	0.1 mol L <sup>-1</sup> KNO <sub>3</sub> in $H_2O/CH_3CN$ 2:1 (v/v) or $H_2O$ only		−1.6 V (SCE)	140 (18 h)	80%	[47]
2	Hg <sup>[b]</sup>	H <sub>2</sub> O	0.1 mol L <sup>-1</sup> KNO <sub>3</sub> in H2O/CH <sub>3</sub> CN 2:1 (v/v) or H <sub>2</sub> O only		−1.5 V (SCE)	164 (18 h)	80%	[47]
3 a/3 b	$GCE^{[a]}$	$TsOH \cdot H_2O$	CH₃CN ,	-0.35-	-0.58 V	5	90-	[48]
4a/4b	$GCE^{[a]}$	HBF <sub>4</sub> ·Et <sub>2</sub> O	CH₃CN	-0.38 V (SCE) -0.20-	(SCE) -0.48	(30 min) ca. 2	100 % 20–	[48]
6, 7, 8	$Hg^{[b]}$	Aqueous CF <sub>3</sub> COOH		−0.25 V (SCE)	(SCE) -0.95 V (SCE)	(30 min) 0.65 (20 min)	25 % > 90 %	[49]
<b>9</b> (L=H <sub>2</sub> O)	Graphite	(0.1 mol L <sup>-1</sup> ) Et <sub>3</sub> NHBF <sub>4</sub> (0.2 mol L <sup>-1</sup> )	1,2-C <sub>2</sub> H <sub>4</sub> Cl <sub>2</sub>		-0.90 V (Ag/ AgCl)	80 (17 h)	> 85 %	[51]
<b>9</b> (L=CH <sub>3</sub> CN)	Hg <sup>[b]</sup>	<i>p</i> -cyanoanilinium (0.1 mol L <sup>-1</sup> )	CH₃CN	$-0.34 \text{ V (Ag/AgCl), GCE}^{[a]}$	-0.5 V (Ag/ AgCl)	46 (30 min)		[52]
<b>9</b> (L=CH <sub>3</sub> CN)	$Hg^{[b]}$	CF <sub>3</sub> COOH (0.1 mol L <sup>-1</sup> )	CH₃CN	$-0.43 \text{ V (Ag/AgCl), GCE}^{[a]}$	-1.0 V (Ag/ AgCl)	15 (1 h)		[52]
		CF <sub>3</sub> COOH (0.1 mol L <sup>-1</sup> )	CH₃CN		-0.5 V (Ag/ AgCl)	7 (1 h)		[52]
<b>9</b> (L=CH <sub>3</sub> CN)	$Hg^{[b]}$	$Et_3NHCI$ (0.1 mol L <sup>-1</sup> )	CH₃CN		-1.6 V (Ag/ AgCl)	14 (1 h)		[52]
		Et <sub>3</sub> NHCl (0.1 mol L <sup>-1</sup> )	CH₃CN		-1.0 V (Ag/ AgCl)	3-4 (1 h)		[52]
$9 (L = CH_3CN)$	$GCE^{[a]}$	$CF_3COOH$ (0.045 mol L <sup>-1</sup> )	CH <sub>3</sub> CN	−0.55 V (SCE)	-0.72 V (SCE)	20 (1 h)	ca. 100%	[53]
10	$GCE^{[a]}$	HCl·Et <sub>2</sub> O (7.5 mmol L <sup>-1</sup> )	CH <sub>3</sub> CN	−0.28 V (SCE)	-0.37 V (SCE)	11 (1 h)	90%	[53]
12	Graphite	Et <sub>3</sub> NHBF <sub>4</sub> (0.2 mol L <sup>-1</sup> )	1,2-C <sub>2</sub> H <sub>4</sub> Cl <sub>2</sub>		-0.90 V (Ag/ AgCl)	100 (2.5 h)	> 85 %	[51]
12–14	$GCE^{[a]}$	$Et_3NHCI$	DMF	−0.98 V (Ag/ AgCl)	Ageij			[51]
15	Graphite	p-cyanoanilinium (0.3 mol L <sup>-1</sup> )	CH₃CN	-0.82 V (Fc <sup>+</sup> /Fc)	-0.82 V (Fc <sup>+</sup> /Fc)	20 (3 h)	100%	[55]
16	Graphite	p-cyanoanilinium (0.3 mol L <sup>-1</sup> )	CH₃CN	-0.78 V (Fc <sup>+</sup> / Fc)	-0.78 V (Fc <sup>+</sup> /Fc)	40 (3 h)	92%	[55]
18 a	$GCE^{[a]}$	TsOH·H <sub>2</sub> O (53 mmol L <sup>-1</sup> )	CH <sub>3</sub> CN	10)	-0.48 V (SCE)	ca. 4	ca. 10%	[56]
18 Ь		(33 IIIIIOIL )			-0.73 V			[56]
19 a					(SCE) -0.75 V (SCE)	ca. 3	ca. 10%	[56]
19 Ь					-0.70 V (SCE)	ca. 30	ca. 55%	[56]
20 a					-0.52 V	ca. 15	ca. 20%	[56]
20 b					(SCE) -0.68 V (SCE)	ca. 25	ca. 70%	[56]
21 a					-0.66 V	ca. 10	ca. 20%	[56]
21 b					(SCE) -0.58 V	ca. 25	ca. 75%	[56]
23	$GCE^{[a]}$	CF <sub>3</sub> COOH	CH₃CN	-0.81 V (SCE)	(SCE)			[61]



Table 1: (Continued)

		Conditi	ons	CV	Bulk e	experiment	ıts	
Catalyst	Electrode	Proton source	Medium	Electrocatalytic potential	Applied potential	TON (reaction time)	Faradaic yield	Ref.
23	GCE <sup>[a]</sup>	CF₃COOH	0.1 mol L <sup>-1</sup> KNO <sub>3</sub> in H <sub>2</sub> O/CH <sub>3</sub> CN 1:1 (v/v)	−1 V (SCE)				[61]
24	Hg <sup>[b]</sup>	Phthalate buffer pH 4	H <sub>2</sub> O		−0.7 V (SCE)		55%	[63]
<b>24</b> , <b>26</b> , and <b>27</b>	Hg <sup>[b]</sup>	Phosphate buffer pH 7	H <sub>2</sub> O		-1.0 V (Ag/ AgCl)	1–2 (4 h)		[62]
27	Hg <sup>[c]</sup>	Aqueous HClO <sub>4</sub> solution pH 2		-1.3 V (Ag/ AgCl)	0 ,			[62]
28	Hg <sup>[b]</sup>	HClO <sub>4</sub> (33 mmol L <sup>-1</sup> )	CH₃CN	-0.4  V (SCE), GCE <sup>[a]</sup>	−0.55 V (SCE)	< 0.5 (0.5 h)	10%	[64]
29	Hg <sup>[b]</sup>	HClO <sub>4</sub> (33 mmol L <sup>-1</sup> )	CH₃CN	-0.7  V (SCE), GCE <sup>[a]</sup>	-0.85 V (SCE)	ca. 1.5 (0.5 h)	35%	[64]
30	Hg <sup>[b]</sup>	pH 5	H <sub>2</sub> O		-1.15 V (SCE)	20 (18 h)		[65]
33	$Hg^{[b]}$	phosphate buffer pH 6.5	H <sub>2</sub> O		-0.9 V (SCE)		42%	[63]
34	$GCE^{[a]}$	HBF <sub>4</sub> or CF <sub>3</sub> SO <sub>3</sub> H	CH₃CN	$-1.0~ extsf{V}~( extsf{Fc}^+/ extsf{Fc})$	-1.1 V (Fc <sup>+</sup> /Fc)		100%	[67]
37	GCE <sup>[a]</sup>	2,6-dichloroanili- nium tetrafluoroborate	CH₃CN	-0.3 V (SCE)	( - 7 - 7			[69]
38	GCE <sup>[a]</sup>			−0.7 V (SCE)				[69]
[Co(TPP)]/Nafion	graphite <sup>[d]</sup>	pH 1 solution	H <sub>2</sub> O		-0.7 V (Ag/ AgCl)	70 h <sup>-1</sup> (TOF)		[70]
[cobalt <i>meso</i> -tetrakis(2-aminophenyl)porphyrin]/poly(4-vinylpyridine-co-styrene)	graphite <sup>[d]</sup>	Phosphate buffer 0.1 mol L <sup>-1</sup> pH 1.0	H <sub>2</sub> O		-0.90 V (Ag/ AgCl)	2×10 <sup>5</sup> h <sup>-1</sup> (TOF)		[71]

[a] Glassy carbon electrode. [b] Hg pool. [c] Hanging-drop Hg electrode. [d] Basal-plane pyrolytic graphite.

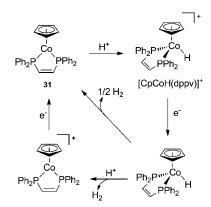
The rational improvements of catalytic performances can only be undertaken if the mechanism for  $H_2$  evolution is clearly understood. The following sections address these issues.

#### 2.2. Hydride Derivatives as Key Catalytic Intermediates

The mechanism of homogeneous hydrogen evolution catalyzed by cobalt complexes generally implies the formation of a cobalt(III) hydride species formed by protonation of a cobalt(I) intermediate. Such hydride derivatives have only been characterized for a few cobalt-based  $H_2$ -evolving catalysts.

Protonation of  $[CpCo^{I}(P)_{2}]$  ( $P=PPh_{3}$ ,  $PEt_{3}$ , or  $P(OMe)_{3}$ ) and  $[CpCo^{I}(P_{2})]$  ( $P_{2}=bis(diphenylphosphino)methane$  (dppm), 1,2-bis(diphenylphosphino)ethane (dppe), or *cis*-1,2-bis(diphenylphosphino)ethylene (dppv, such as in **31**)) by ammonium ions in organic solvents quantitatively yields the hydride derivatives  $[CpCo^{III}H(P)_{2}]^{+}$  and  $[CpCo^{III}H(P_{2})]^{+}$ , respectively, which have been characterized by  $^{1}H$  NMR spectroscopy (Figure 10). $^{[65]}$ 

Reduction of  $[Co(dmgH)_2(PBu_3)Cl]$  (35) by NaBH<sub>4</sub> in a 50% (v/v) aqueous methanol solution buffered with sodium phosphate to pH  $\approx$  7 yields a precipitate of the blue complex



**Figure 10.** Preparation and reactivity of  $[CpCoH(dppv)]^+$ .

[HCo(dmgH)<sub>2</sub>(PBu<sub>3</sub>)] (Figure 11).<sup>[86]</sup> The axial phosphine ligand stabilizes and thus inactivates the hydride species, resulting in a decrease of the H<sub>2</sub> evolution activity. The same synthetic procedure has been used for the preparation of hydride derivatives from the H-bridged or BF<sub>2</sub>-annulated cobaloxime systems with an axial pyridine ligand.<sup>[86]</sup> A Co-H stretching band is observed at 2240 cm<sup>-1</sup> in the IR spectrum; this band is observed at 1680 cm<sup>-1</sup> for the deuteride analogue. The reported <sup>1</sup>H NMR chemical shift of the hydride ligand is  $\delta = 6.0$  ppm, which is a surprising value for a formal hydride



Figure 11. Reactivity of [HCo(dmgH)<sub>2</sub>(PBu<sub>3</sub>)].

ligand but not unprecedented among transition metal hydrides [87-89] and in good agreement with a  $\text{Co}^{\delta-}\text{H}^{\delta+}$  polarization of the metal-hydrogen bond and the low basicity of the compound (p $K_a \approx 7$  in a water/ethanol mixture). As a consequence, UV/Vis spectra of  $\text{Co}^{\text{III}}\text{-H}$  species have the appearance of the  $\text{Co}^{\text{I}}$  compounds, with a large absorption band in the 550–650 nm region. [HCo $^{\text{III}}$ (dmgBF $_2$ ) $_2$ ], with no axial ligand or with a water molecule coordinated *trans* to the hydride, has been recently characterized in situ by Bakac and Szajna-Fuller; this species can be obtained by reduction either with NaBH $_4$  or with titanium(III) citrate in an aqueous buffer. [90]

Other hydride derivatives have been prepared or characterized in the course of pulse radiolysis experiments. These include studies with cobalt [14]diene-N<sub>4</sub> macrocyclic cobalt complexes, including 1<sup>[91,92]</sup> and aqueous CoSO<sub>4</sub>/2,2'-bipyridine mixtures, generating [Co(bipy)<sub>2</sub>(H<sub>2</sub>O)H]<sup>2+</sup> (22).<sup>[59]</sup>

In the case of the coordinatively saturated hexamine cobalt complexes **24–27** however, the formation of hydride intermediates has been definitively ruled out. Reduction to the Co<sup>I</sup> state is in fact not possible<sup>[93]</sup> in this case because of geometric constraints within the encapsulating macrocycles.<sup>[94]</sup> The proposed mechanism<sup>[62]</sup> for H<sub>2</sub> evolution (Figure 12) thus involves the initial monoelectronic reduction of the Co<sup>III</sup> complex, followed by elimination of a hydrogen atom (H<sup>\*</sup>) from one amine group of the resulting adsorbed cobalt(II) species. Finally, two hydrogen atoms recombine at the surface of the electrode and generate H<sub>2</sub> while the complex is released in solution and protonated to regenerate the initial species.

Figure 12. Mechanism for  $H_2$  evolution catalysed by coordinatively saturated hexamine cobalt complexes 24–27.

# 2.3. Homolytic versus Heterolytic: The Intrinsic Nature of the $H_2$ Evolution Step

Hydrogen evolution catalyzed by molecular complexes may occur through two distinct mechanisms.<sup>[45]</sup> In the homolytic mechanism (Figure 13, left), two metal hydride

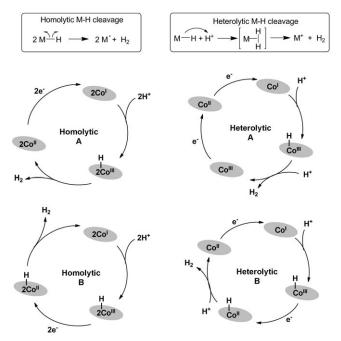


Figure 13. Homolytic and heterolytic mechanisms for H<sub>2</sub> evolution catalyzed by a molecular coordination compound.

complexes evolve  $H_2$  by a reductive elimination reaction. In the course of the catalytic cycle, each metal center thus undergoes a monoelectronic reduction process, either before protonation (Figure 13, homolytic pathway A) or once the hydride species is formed (Figure 13, homolytic pathway B). In the alternative heterolytic pathway (Figure 13 right), the intermediate metal hydride decomposes by proton attack and evolves  $H_2$  by an intermediate dihydrogen–metal  $\sigma$  complex. During the catalytic cycle, two electrons are transferred to the same metal center, either consecutively (Figure 13, heterolytic pathway A) or alternating with the two protonation steps (Figure 13, heterolytic pathway B).

A way to evaluate the potential of a given system to proceed homolytically or heterolytically is to study H<sub>2</sub> evolution from isolated hydride species, but as discussed above, this is only possible in a few cases. Furthermore, as shown below, both mechanisms very often operate simultaneously, with relative weights depending on reaction conditions. For example, the organometallic hydride [CpCo<sup>III</sup>-(dppv)H]<sup>+</sup> (Figure 10), stable in propylene carbonate solution, can be activated upon reduction by sodium amalgam or at an electrode held at -1.5 V versus SCE to quantitatively evolve hydrogen.<sup>[65]</sup> The mechanism is in this case obviously homolytic, as the reaction is carried out in the absence of protons. Addition of trifluoroacetic acid (TFA) to the solution after H<sub>2</sub> evolution has ceased allows the regeneration of the



hydride species with  $H_2$  evolution under reductive electrochemical conditions. However, the detailed analysis of the kinetics of the reaction shows that both homolytic and heterolytic mechanisms now operate simultaneously. A similar reactivity is observed when  $[\text{CpCo}^{\text{III}}(P(\text{OMe})_3)_2H]^+$  catalyzes  $H_2$  evolution from an aqueous solution at pH 5.<sup>[65]</sup>

Chao and Espenson carried out early studies on the reactivity of the isolated hydride compound [HCo(dmgH)<sub>2</sub>-(PBu<sub>3</sub>)] (Figure 11) with HClO<sub>4</sub> in methanol/water mixtures. [95] Under these conditions, [HCo(dmgH)<sub>2</sub>(PBu<sub>3</sub>)] is protonated  $(K = 1.3 \times 10^2 \,\mathrm{mol}^{-1}\mathrm{L})$ , probably at one oxime ligand, to yield [HCo(dmgH<sub>2</sub>)(dmgH)(PBu<sub>3</sub>)]<sup>+</sup>. Both homolytic and heretolytic routes have been found to operate simultaneously. A high bimolecular rate constant of 1.7× 10<sup>4</sup> mol<sup>-1</sup>Ls<sup>-1</sup> has been determined for the first process. The rate constant for heterolytic hydrogen evolution is by comparison much more modest (0.42 mol<sup>-1</sup>Ls<sup>-1</sup>). Thus the heterolytic pathway is competitive only at low catalyst or high acid concentrations. Higher heterolytic rate constants are however expected for similar systems lacking the  $\pi$ -accepting axial phosphine ligand and thus having hydride intermediates that are more reactive towards protonation.

Kellet and Spiro developed a method to determine the equilibrium constants for both homolytic and heterolytic  $H_2$  evolution from HA reduction for a catalyst under given conditions.  $^{[49]}$  The method uses the redox potentials of the  $Co^{III}/Co^{II}$  and  $Co^{II}/Co^{I}$  couples and the standard potential of the  $HA/^1/_2\,H_2+A^-$  couple under the experimental conditons. While the first two values can be measured electrochemically, the last parameter can be calculated from tabulated data.  $^{[72,74,96]}$ 

Both pathways are considered as the sum of one  $H_2$  evolution step [Eq. (4) or (5)]<sup>[97]</sup> and one or two redox half-equations, as shown below:

Heterolytic route:

$$\begin{split} ^{1}\!/_{\!2} \left[ Co^{I} \right] + AH &= ^{1}\!/_{\!2} \left[ Co^{III} \right] + ^{1}\!/_{\!2} \, H_{2} + A^{-} \\ ^{1}\!/_{\!2} \left[ Co^{III} \right] + e^{-} &= ^{1}\!/_{\!2} \left[ Co^{II} \right] \\ ^{1}\!/_{\!2} \left[ Co^{II} \right] + e^{-} &= ^{1}\!/_{\!2} \left[ Co^{I} \right] \\ \text{Net reaction}: \ AH + e^{-} &= ^{1}\!/_{\!2} \, H_{2} + A^{-} \\ \text{Thus,} \\ \Delta_{r} \textit{G}^{\circ} \ (1)^{-1}\!/_{\!2} \mathscr{F} \times \textit{E}^{\circ} \ (Co^{III}/Co^{II})^{-1}\!/_{\!2} \mathscr{F} \times \textit{E}^{\circ} \ (Co^{II}/Co^{I}) \\ &= -\mathscr{F} \times \textit{E}^{\circ} \ (H^{+}\!/H_{2}) \end{split} \label{eq:continuous}$$

Homolytic route:

$$\begin{split} &[\text{Co}^{\text{I}}] + \text{AH} = [\text{Co}^{\text{II}}] + {}^{\text{I}}\!/_{\!\! 2} \, \text{H}_{2} + \text{A}^{-} \\ &[\text{Co}^{\text{II}}] + \text{e}^{-} = [\text{Co}^{\text{I}}] \\ &\text{Net reaction}: \ \text{AH} + \text{e}^{-} = {}^{\text{I}}\!/_{\!\! 2} \, \text{H}_{2} + \text{A}^{-} \\ &\text{Thus,} \\ &\Delta_{\text{r}} G^{\circ} \ (2) - \mathscr{F} \times E^{\circ} \ (\text{Co}^{\text{II}}/\text{Co}^{\text{I}}) = -\mathscr{F} \times E^{\circ} \ (\text{H}^{+}/\text{H}_{2}) \end{split}$$

This method was used to demonstrate that hydrogen evolution from  $0.1 \text{ mol L}^{-1}$  aqueous TFA solution catalyzed by cobalt porphyrins proceeds from Co<sup>III</sup>–H species through the homolytic route, with the protonation of the Co<sup>I</sup> species being the rate determining step. [49] The same method has then

been applied for various cobaloxime<sup>[48,51]</sup> and diimine-dioxime cobalt complexes.<sup>[55]</sup> In all of the calculations, the homolytic pathway is always found to be thermodynamically possible. The heterolytic process appears endergonic for BF<sub>2</sub>annulated complexes 9, 10, and 15, but to various extents depending on the strength of the acid. In the case of the Hbridged complexes, either 12a or 16, this route was found to be thermodynamically favorable and likely to be competing with the homolytic route when strong acids are used. On the basis of simple kinetic-thermodynamic correlation considerations, Gray and co-workers proposed a lower value (45 kJ mol<sup>-1</sup>) for the barrier associated with heterolytic hydrogen activation. Note that such a value would just correspond to an activation energy of 250 mV for a twoelectron process, which is of the same order of magnitude as that of the overpotential required by cobaloxime electrocatalysts. Thus, at the electrocatalytic potential, such a reaction can easily be driven by highly favourable electrontransfer processes between the electrode and the catalyst.

Additionally, digital simulations have been performed so as to identify which mechanism reproduces best the experimental cyclic voltammograms. The initial input of this approach was provided by Savéant and co-workers. [98] Simulations performed for different scan rates and in the presence of various amounts of Et<sub>3</sub>NH<sup>+</sup> were consistent with heterolytic H<sub>2</sub> evolution catalyzed by **12a** and analogues with substituted pyridine ligands in DMF. [51] Similar simulations have been carried out for **9**, but they have led to some controversy between two groups. [48,52] Again, it should be noted that both mechanisms can occur simultaneously and/or predominate under distinct conditions (such as potential, concentration, and acid/catalyst ratio).

In the mechanisms discussed above, only the CoIII-H species has been considered as a possible intermediate. However, the latter can be reduced at the electrode so as to form a CoII-H species that can itself evolve hydrogen through either the homolytic or the heterolytic route. [52,55] The lower oxidation state of the metal center destabilizes the hydride ligand and enhances its reactivity with acids. Such a species has thus been proposed to account for the slow hydrogen evolution catalyzed by 9 in the presence of weak acids, such as Et<sub>3</sub>NH<sup>+</sup> in DMF or TFA in CH<sub>3</sub>CN,<sup>[52]</sup> which are strong enough to protonate Co<sup>I</sup> but not the Co<sup>III</sup>—H intermediate.<sup>[99]</sup> This mechanism is also likely to operate in most of the lightdriven H<sub>2</sub>-evolving catalytic systems described in Section 3, as they require neutral to basic conditions.<sup>[100]</sup> Such a mechanism had been favored very recently by Gray and co-workers, which used proton transfer from the triplet excited state of brominated naphthol to the isolated Co<sup>I</sup> species 9<sup>-[101,102]</sup> and may also occur during hydrogen evolution from [Co<sup>I</sup>(do)- $(doH)pn(PPh_3)$ ] (36;  $doH(doH)pn = N^2, N^{2'}$ -propanediylbis(2,3-butanone-2-imine-3-oxime)). The latter reacts with p-cyanoanilinium bromide as the proton source to yield half an equivalent of H<sub>2</sub> and the cobalt(II) complex [Co(do)-(doH)pnBr(PPh<sub>3</sub>)] (Figure 14).<sup>[55]</sup> Acid-induced disproportionation of the CoII species then generates CoI and CoIII complexes (Figure 14), the former re-entering directly the catalytic cycle so that the net reaction is the stoichiometric formation of H<sub>2</sub> and a Co<sup>III</sup> species from two protons and a



Figure 14. H<sub>2</sub> evolution from [Co<sup>1</sup>(do)(doH)pn(PPh<sub>3</sub>)] (36).

Co<sup>I</sup> complex.<sup>[55]</sup> Therefore, the observation of a Co<sup>III</sup> intermediate cannot be used as an evidence for heterolytic H<sub>2</sub> evolution as recently claimed by Szajna-Fuller and Bakac.<sup>[90]</sup>

The design of catalytic systems functioning through a heterolytic pathway is a prerequisite for technological applications that will require immobilization of the catalyst onto an electrode substrate, as protonation of hydride sites at the surface should proceed smoothly (see Section 4). In contrast, mononuclear systems that evolve H2 through a homolytic and thus bimetallic pathway should be inefficient when grafted on an electrode, as two different immobilized centers should not not be able to react together. [45,56] As a means to overcome these drawbacks, Peters recently developed bimetallic catalysts with the preparation of a series of pyridazine-templated dicobalt macrocycles 37 and 38 (Figure 15) in which each cobalt center is coordinated by two oxime and two imine ligands. These compounds can catalyze hydrogen evolution from 2,6-dichloroanilinium tetrafluoroborate in CH<sub>3</sub>CN, although no indication about the catalytic mechanism is available.[69]

Figure 15. Pyridazine-templated dicobalt macrocycles designed by Peters and co-workers.

#### 2.4. Tuning the Electrocatalytic Performance

From a general point of view, catalysts requiring high overpotential (more than 400 mV) are of little interest for mid- or long-term technological water-splitting applications. [26,27,29] The same applies for systems with very negative electrocatalytic (that is, operating) potentials, even if they require a low overpotential, as the long-term aim is to work with aqueous electrolytes with a limited electrochemical window, even at carbonaceous electrodes.

#### 2.4.1. Electrocatalytic Potential and Nucleophilicity

A large number of reports have considered the possibility of tuning the electrocatalytic potential through structural modification of the ligands in a series of catalysts. However, the modification of the coordination sphere not only influences the redox processes, but also the protonation/deprotonation steps. Unfortunately, both effects are oppo-

site, as the presence of hard ligands for example facilitates the protonation of low-valent metal ions and enhances the hydridicity of metal hydride moieties, but decreases the potentials of the reduction steps.<sup>[45]</sup> As a general conclusion of these studies, it was stressed that "modification of the reduction potentials of a closely related set of catalysts, that is, varying remote substituents of the ligands, results in insignificant changes in the overpotential requirement for proton reduction":[103] species with a relatively positive reduction potential are also less nucleophilic and thus exhibit catalytic activity only under strongly acidic conditions. This point is well illustrated in the cobaloxime series: the redox potential is shifted by 380 mV to the more positive values when substituting glyoximato (L = gH<sup>-</sup>) for dimethylglyoximato ( $L = dmgH^-$ ) in  $[Co^{II}(L)_2(py)]$ , but  $[Co(gH)_2(PBu_3]^-$  is approximately 600 times less nucleophilic than [Co(dmgH)<sub>2</sub>-(PBu<sub>3</sub>]<sup>-.[104]</sup> The same applies when considering substituting dmgH<sup>-</sup> in 9 by dpgH<sup>-</sup> in 10 or when switching from a protonlinked cobaloxime such as 11 to the BF2-annulated compounds 9.[51,52] Thus, whereas modifications in the equatorial bis(dioxime) ligand allow the electrocatalytic potentials to be tuned to keep the catalytic wave within a technologically relevant electrochemical window, this does not result in any improvement of the overpotential requirement, which remains in the 200-300 mV range under optimal conditions; that is, in the presence of sufficiently strong acid. A similar trend has been observed for complexes 4–6. While the more electron-rich catalysts 3a,b produce H<sub>2</sub> from p-toluenesulfonic acid with a turnover frequency of 10 h<sup>-1</sup>, the diphenyl derivatives 4a,b require a stronger acid, such as HBF4, and only turn over 4 cycles per hour. The tetraphenyl derivatives **5a,b** are completely inactive for hydrogen evolution. [48]

## 2.4.2. Overpotential Requirement and Turnover Frequency

In the case of both tetraimine and porphyrin cobalt catalysts, [48,49] it has been observed that any electronic



modification at the catalytic center that lowers the overpotential requirement for H2 evolution also leads to a decrease of the rate of catalysis. In the cobaloxime series, this statement is also valid for modifications at the equatorial plane. In contrast, modifications of the axial ligand trans to the hydride ligand involved in catalysis have a strong influence on the reactivity of the CoIII-H species and thus on the catalytic rate, whereas they have little influence if any on the electrochemical potential of the CoII/CoI couple, as with 11–14, and on the electrocatalytic potential for hydrogen evolution. [51] This has been clearly demonstrated for the series 12-14a: introduction of electron-withdrawing or electrondonating substituents in para position of the pyridine ligand strongly modifies the rate constant of the protonation of the Co<sup>III</sup>-H bond (heterolytic hydrogen evolution) by several orders of magnitude. A linear correlation could be obtained between the rate constants of this step and the Hammett coefficients, which reflect the electronic properties of the substituents of the pyridine ligand.

# 2.4.3. Proton-Hydride Interaction

Structural modifications of the cobalt coordination sphere can also be inspired by natural systems, such as hydrogenase enzymes.[105] For example, introduction of basic sites close to the metal center may facilitate intra- and intermolecular proton transfer. If proton and electron transfer processes were efficiently coupled, a dramatic decrease of the overvoltage could be obtained. This approach has been initially and successfully developed by DuBois, Rakowsky DuBois, and co-workers with mononuclear nickel-bis(diphosphine) complexes, borrowing the nickel ion from the NiFe-hydrogenase metal center and taking the pendant proximal base, an amine group, but as part of a diphosphine ligand, from the active site of FeFe-hydrogenases. [106,107] Using the same diphosphine ligand with pendant amine functions for cobalt coordination yields [Co(PPh2NPh2)(CH3CN)3]2+ (34a), a remarkable catalyst for hydrogen evolution from bromoanilinium tetrafluoroborate in acetonitrile with a turnover frequency of 90 s<sup>-1</sup> and an overpotential requirement of 285 mV.<sup>[67]</sup> Substituting tert-butyl for phenyl substituents on the cyclic phosphine ligand results in a positive shift of the Co<sup>II/I</sup> couple in **34b** and reduces the overpotential required for hydrogen evolution to 160 mV while increasing the turnover frequency to 160 s<sup>-1</sup>. [68] A cobalt complex with a related diphosphine ligand that does not contain a pendant base is not catalytically active. The analogue 34c, with a more basic amine is also not active.[108]

The importance of a basic site in the second coordination sphere has also been shown in the case of diimine-dioxime cobalt complexes 16 and 17, which contain a proton bridging two N-bound oxime functions and are susceptible to proton exchange.<sup>[55]</sup> These complexes are indeed excellent electrocatalyts for proton reduction into H<sub>2</sub> at small overpotentials. Protonation at this OH···O bridge, yielding a species bearing two protonated oxime ligands, has been proposed to account for the anodic shift of the electrocatalytic wave for hydrogen evolution with regard to the Co<sup>II</sup>/Co<sup>I</sup> potential in the presence of strong acid. Indeed, this is not observed for the BF<sub>2</sub>- annulated analogue 15.[55] Here, the presence of an H+exchanging site provides the catalyst with a mechanism to adjust its electrocatalytic potential for hydrogen evolution to the acid-base conditions of the solution and allows the overvoltage for the reduction of acids to be kept within reasonable values over a wide range of  $pK_a$  values. Such a progressive shift of the electrocatalytic potential as a function of the strength of the proton source used has never been observed for a synthetic molecular catalyst. Similarly, hydrogenase enzymes<sup>[12]</sup> have this ability to adapt their electrocatalytic potential by modifying their surface charge through protonation and thus to catalyze H<sub>2</sub>/H<sup>+</sup> interconversion near the equilibrium over a wide range of pH values.[109] Whether such an open oxime bridge is involved in the proton transfer steps along the catalytic cycle for hydrogen evolution, thus promoting fast CoIII-H formation and/or accelerating its protonation by a proton-hydride interaction, has not been studied to date. It should be noted that such a protonated cobaloxime intermediate with an open oxime bridge has been previously seen during the course of heterolytic hydrogen evolution (Figure 11).<sup>[95]</sup>

These examples demonstrate that an increased understanding of the chemical principles on which the reactivity of a biological active site is built on, together with a fine utilization of the synthetic power of chemistry, allow for minor modifications of a bioinspired catalyst, thus resulting in considerable functional improvements.

#### 2.5. Conclusions

Cobalt-based hydrogen evolution catalysts have received growing interest in the past five years. In particular, cobaloximes and diimine-dioxime cobalt complexes are some of the most efficient catalytic systems as far as overpotential requirement, turnover frequency, and robustness are concerned. They have also found application in the design of light-driven hydrogen evolution systems, which will be described in Section 3.

# 3. Cobalt-Based Photocatalytic H<sub>2</sub>-Evolving Systems

The second issue for the design of an artificial system for water splitting resides in using sunlight directly as the energy source for driving H<sub>2</sub> generation, thereby mimicking the direct light-to-chemical energy transduction achieved by photosynthetic organisms. This process requires the efficient coupling of an H<sub>2</sub>-evolving catalyst with a photosensitizer.<sup>[110]</sup> The latter converts the luminous flux into a flow of electrons (wireless current) to be used by the catalytic center. The cobalt-based systems described in Section 2 have been among the most employed systems for the construction of lightdriven systems for H<sub>2</sub> evolution. In this section, we will first describe multicomponent systems consisting of isolated photosensitizers and H<sub>2</sub>-evolving catalysts and then supramolecular assemblies combining the two functions. We will not discuss systems for which H<sub>2</sub> evolution has only been noticed as a side reaction of (or together with) CO<sub>2</sub> photo-



reduction.<sup>[111-115]</sup> Furthermore, and because we consider that multielectron catalysis is a key issue in these systems, we exclusively present turnover numbers relative to the amount of cobalt catalyst present in solution, even if the latter is in excess with regard to the photosensitizer.

The general mechanisms for light-driven  $H_2$ -evolution catalyzed by cobalt systems are shown in Figure 16. The process is initiated in any case by the absorption of a photon

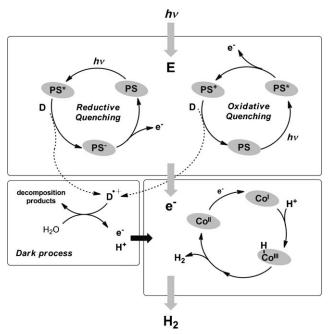


Figure 16. PS-based, cobalt-based, and dark processes involved in light-driven  $H_2$  evolution catalyzed by cobalt complexes.

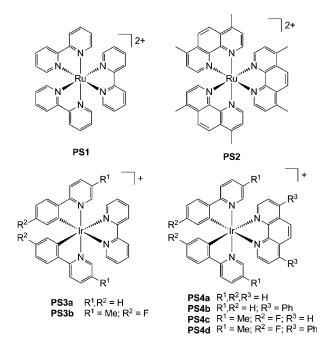
by the photosensitizer, yielding a PS\* excited state. From this state, a first photoinduced electron transfer may take place by an oxidative quenching process involving the cobalt complex as the electron acceptor and generating the active Co<sup>I</sup> species. A second electron transfer process then occurs between the sacrificial electron donor and the resulting oxidized photosensitizer PS<sup>+</sup>, thus regenerating the PS. An alternative mechanism implies a reductive quenching of the PS\* by the sacrificial electron donor first to yield the reduced PS<sup>-</sup>, which subsequently reduces the catalyst to the Co<sup>I</sup> state. In the specific case of the Co<sup>III</sup> catalysts, a primary photoinduced electron transfer step is necessary to generate the Co<sup>II</sup> complex that is further reduced to the Co<sup>I</sup> state. This was suggested to be responsible for the existence of an induction period.<sup>[116,117]</sup>

The establishment of one mechanism versus the other will essentially depend on the redox properties of the different couples (PS $^+$ /PS $^*$ , PS $^*$ /PS $^-$ , Co $^{III}$ /Co $^{II}$ , Co $^{II}$ /Co $^I$ , D $^{*+}$ /D), and their relative concentrations (see Section 3.3).

Some electrons entering the cobalt catalytic cycle might originate from a dark (thermal) process that is different from the light-induced process; indeed, in most of these photocatalytic experiments, the sacrificial electron donor is an amine (triethanolamine, TEOA, or triethylamine, TEA). After electron transfer (either to PS\* or to PS<sup>+</sup>), a radical cation is formed, which is known to decompose in the reaction medium to give one equivalent of proton and one equivalent of electron, together with aldehyde and secondary amine byproducts (Figure 16). <sup>[118–120]</sup> This dark process should be considered practically in determining the quantum yield for the photoproduction of H<sub>2</sub>.

#### 3.1. Multicomponent Photocatalytic Systems

Until the late 1970s, most of the work in this area relied on the use of  $[Ru(bpy)_3]^{2+}$  (**PS1**; Figure 17) as the photosensitizer in combination with platinum colloids as the heterogeneous



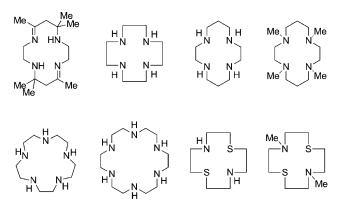
*Figure 17.* Structures of photosensitizers employed in combination with the  $[Co(bipy)_n]^{2+}$  H<sub>2</sub>-evolving system.

 $H_2\text{-evolving catalyst.}^{[121,122]}$  In these systems, cobalt complexes were initially employed as electron relays  $^{[123]}$  alternative to methyl viologen.  $^{[118,124,125]}$  However, control experiments revealed that in that case, hydrogen could also be evolved in the absence of a Pt catalyst.  $^{[115,118]}$  Importantly, these early reports have revealed that in the case of homogeneous cobalt-based hydrogen photoproduction, and in contrast with systems based on platinum colloids, no electron mediator needs to be employed.

Following these pioneering observations, a wide range of cobalt complexes, ranging from  $[\text{Co(bipy)}_n]^{2+}$  species  $(n=1-3; \text{ Figure 5})^{[57,115,126-128]}$  to the cobalt–polypyridinium complexes **39** and **40** (Figure 18)<sup>[129]</sup> to some macrocyclic Co<sup>II</sup> complexes, including **1**,<sup>[130]</sup> that are based on the ligands shown in Figure 19<sup>[130,131]</sup> or the cobaloxime **11**<sup>[131]</sup> have been used.<sup>[132]</sup>



Figure 18. Structures of the cobalt polypyridinium complexes 39 and 40.



*Figure 19.* Representative structures of macrocyclic ligands employed in cobalt-based H<sub>2</sub>-evolving complexes in combination with **PS1**.

# 3.1.1. Systems with [Co(bipy)3]2+

The combination of  $\mathrm{Co^{II}}$  ions and bipy ligands for  $\mathrm{H_2}$  photoproduction has been extensively studied by Sutin and co-workers. Visible-light-induced hydrogen production has been demonstrated from aqueous solution when these two components were mixed with **PS1** and ascorbate in large excess as the sacrificial electron donor at the optimum pH of 5. Pormation of dihydropyridine as a consequence of the reduction of bipy ligand has been noticed as a side reaction. When bipy is replaced by 4,4'-dimethylbipyridine in the catalytic system, a considerably higher quantum yield for  $\mathrm{H_2}$  production is obtained. This work has been further improved by using  $[\mathrm{Ru}(\mathrm{dmphen})_3]^{2+}$  (**PS2**) as the photosensitizer, TEOA as the sacrificial electron donor, and  $[\mathrm{Co}(\mathrm{bipy})_3]^{2+}$  (Figure 5) as the catalyst precursor in a 1:1 mixture of  $\mathrm{CH_3CN}$  and  $\mathrm{H_2O}$  at pH 8. [57]

Recently [Co(bpy)<sub>3</sub>]<sup>2+</sup> was associated with various cyclometallated diimine–iridium complexes (**PS3** and **PS4**; Figure 17), prepared by a combinatorial method, in water/acetonitrile mixtures in the presence of LiCl and with TEOA as the sacrificial electron donor.<sup>[133]</sup> Under the conditions used, eight turnovers based on [Co(bpy)<sub>3</sub>]<sup>2+</sup> are achieved by the photocatalytic system, with no significant variation depending on the iridium-based photosensitizer structure, while **PS1** only allows one turnover. However, use of the

ruthenium-based **PS2** instead of **PS1** allows the efficiency to be improved by a factor of 6; as stated above, this trend in the Ru series has been observed by Sutin and co-workers.<sup>[57]</sup>

#### 3.1.2. Multicomponent Systems with Cobaloxime Catalysts

Since 2008, the design of new photocatalytic systems has focused on the use of cobaloximes as H2-evolving catalysts (Table 2). [134] However, the first application of a cobaloxime catalyst (11; Figure 3) in combination with PS1 was reported in 1983 by Lehn and co-workers, with about 16 TON h<sup>-1</sup> achieved in a DMF/H<sub>2</sub>O solution with apparent pH  $\approx 9$ . [131] TEOA was used as the sacrificial electron donor. Excess of free dmgH2 ligand was found to be necessary to replace hydrogenated ligand formed by side reactions and sustain the activity. Addition of one equivalent of PnBu<sub>3</sub> provides enhanced stability to the photocatalytic system, resulting in a TON of up to 88. The use of the more stable BF<sub>2</sub>-annulated cobaloxime 9 with **PS1** was reported in 2008, with a TON of 20 achieved within one hour in acetone with TEA as the sacrificial electron donor and triethylammonium (HTEA+) tetrafluoroborate salt as the proton source. [100] Under these conditions, a very low activity was found for the initial catalytic system reported by Lehn (Table 2). This result shows that such homogeneous photocatalytic systems are extremely sensitive to the experimental conditions and in particular to the composition of the media, the proton source or apparent pH, and the nature of the sacrificial electron donors. We will discuss these issues in detail below.

Systems based on cobaloxime **9** as the H<sub>2</sub>-evolving catalyst were further improved by the use of other metal-based photosensitizers, such as the cyclometallated iridium diimine **PS4a** (Figure 17) or the tricarbonylrhenium diimine **PS5a** (Figure 20),<sup>[135]</sup> resulting in H<sub>2</sub> production with 165 (15 h) and 273 (15 h) TON, respectively. The organic dye **PS9** has also been used.<sup>[136]</sup> Cobalt diimine-dioxime catalysts<sup>[55]</sup> have also been integrated into photochemical H<sub>2</sub>-evolving systems based on cyclometallated iridium diimine **PS3a**.<sup>[137]</sup> Table 2 collects the photocatalytic performances, together with the experimental conditions, of the various systems based on a cobaloxime reported to date.

Eisenberg and co-workers used the H-bridged cobaloxime bearing an axial pyridine ligand 12a together with a series of platinum chromophores PS6a-d.[116,117,139] Under their standard experimental conditions (CH<sub>3</sub>CN/H<sub>2</sub>O, 3:2; TEOA 0.16 mm), the rate of hydrogen generation follows the order PS6d > PS6b > PS6c > PS6a. Cyclometallated derivatives PS7a-c proved less efficient, with the most efficient derivative, PS7a, being comparable to PS6a.[116,117] Improved performances could be obtained either by modifying the solvent mixture (Table 2, entry 8) or by employing a higher concentration of TEOA (Table 2, entry 9), allowing up to 120 TON<sub>Co</sub> (2150 turnovers with respect to the Pt sensitizer; Table 2, entry 10) during a 10 h experiment. In a similar study, Castellano and co-workers established the influence of the phenylacetylide  $\pi$ -conjugation length in **PS6 e,f** on the photocatalytic activity of systems based on 12a.[140] In these studies, no free dmgH<sub>2</sub> ligand is introduced in excess to repair the catalyst, which may decompose during catalysis.



 $\textbf{\textit{Table 2:}} \ \ Photocatalytic performances of multicomponent systems with cobalt-based \ H_2-evolving \ catalysts.$ 

Entry	PS		Cat.	PS/Cat.	Solvent	$pH_{app}$	Light	$t^{[e]}$	$TON_{Co}^{[f]}$	$\Phi^{[\mathrm{g}]}$	Ref.
1 2	Ru	PS1 PS1	11 <sup>[a]</sup> 11 <sup>[a]</sup>	1:2.4 1:2.4	DMF/TEOA, 2:1 DMF/TEOA, 2:1	8.8 10.4	$\lambda$ $>$ 400 nm $\lambda$ $>$ 400 nm	1 h 6.5 h	16 88	≥13%	[131] [131]
3		PS1	<b>11</b> <sup>[a]</sup>	1:2	+ 1 equiv PnBu₃ DMF TEOA, AcOH	-	$\lambda\!>\!400~\mathrm{nm}$	9 h	33		[138]
4		PS1	9	1:1	acetone, TEA [HTEA][BF <sub>4</sub> ] <sup>[b]</sup>	-	white light $\lambda >$ 350 nm	1 h	20		[100]
5		PS1	<b>11</b> <sup>[a]</sup>	1:2.4	acetone, TEA [HTEA][BF <sub>4</sub> ] <sup>[b]</sup>	-	white light $\lambda > 350 \text{ nm}$	4 h	2		[100]
6	Ir	PS4a	9	1:1	acetone, TEA [HTEA][BF <sub>4</sub> ] <sup>[c]</sup>	-	$\lambda\!>\!380\;\mathrm{nm}$	15 h	165	10%	[135]
7		PS3a	16	1:1	MeCN/H <sub>2</sub> O, 1:1 TEA (10%)	10	$\lambda\!>\!400\;\text{nm}$	4 h	307		[137]
8		PS3a	<b>16</b> + PPh <sub>3</sub>	1:1	MeCN/H <sub>2</sub> O, 1:1 TEA (10%)	10	$\lambda\!>\!400~\text{nm}$	10 h	696		[137]
7	Pt	PS6a	12a	1:18	MeCN/H <sub>2</sub> O, 3:2 TEOA (0.16 mм)	8.5	$\lambda >$ 410 nm	5 h	11		[116, 117]
8		PS6a	12 a	1:18	MeCN/H₂O, 24:1 TEOA (0.16 mм)	8.5	$\lambda\!>\!410~\text{nm}$	5 h	22		[116, 117]
9		PS6a	12 a	1:18	MeCN/ $H_2O$ , 3:2 TEOA (0.27 M)	8.5	$\lambda >$ 410 nm	10 h	56		[139]
10		PS6a	12a	1:18	MeCN/H <sub>2</sub> O, 24:1 ТЕОА (0.27 м)	8.5	$\lambda >$ 410 nm	10 h	120		[116, 117]
11		PS7a	12a	1:18	MeCN/H₂O, 3:2 TEOA (0.16 mм)	8.5	$\lambda >$ 410 nm	5 h	9		[116, 117]
12		PS6a	12 b	1:18	MeCN/H₂O, 3:2 TEOA(0.16 mм)	8.5	$\lambda\!>\!410~\text{nm}$	5 h	7		[116, 117]
13		PS6a	13	1:18	MeCN/H <sub>2</sub> O, 3:2 TEOA (0.16 mм)	8.5	$\lambda\!>\!410~\text{nm}$	5 h	6		[116, 117]
14		PS6a	14b	1:18	MeCN/H <sub>2</sub> O, 3:2 TEOA (0.16 mм)	8.5	$\lambda >$ 410 nm	5 h	13		[116, 117]
15		PS6a	35	1:18	MeCN/H <sub>2</sub> O, 3:2 TEOA (0.16 mм)	8.5	$\lambda >$ 410 nm	5 h	<1		[116, 117]
16		PS6a	9	1:18	MeCN/H₂O, 3:2 TEOA (0.16 mм)	8.5	$\lambda >$ 410 nm	5 h	<1		[116, 117]
17		PS6e	12a	1:18	MeCN/H₂O, 1:1 TEOA (0.5 м)	8.5	$\lambda\!>\!$ 420 nm	3 h	44	17%	[140]
18		PS6e	12a	1:18	MeCN/H₂O, 1:1 TEOA (0.5 м)	8.5	442 nm	1 h	4	17%	[140]
19		PS6f	12a	1:18	MeCN/H₂O, 1:1 ТЕОА (0.5 м)	8.5	442 nm	1 h	5	16%	[140]
20		PS6g	12 a	1:18	MeCN/H₂O, 1:1 TEOA (0.5 м)	8.5	442 nm	1 h	7	15%	[140]
21	Re	PS5a	9	1:1	acetone, TEA [HTEA](BF <sub>4</sub> ) <sup>[c]</sup>	-	$\lambda\!>\!380\;\text{nm}$	15 h	273	16%	[135]
22		PS5b	<b>11</b> <sup>[a]</sup>	1:2	DMF TEOA, AcOH	-	$\lambda \! > \! 400 \; nm$	9 h	75	26%	[138]
23		PS5c	<b>11</b> <sup>[a]</sup>	1:17	DMF, TEOA [HTEOA](BF <sub>4</sub> ) <sup>[d]</sup>	-	LED 380 nm	120 h	353		[120]
24		PS5c	<b>11</b> <sup>[a]</sup>	1:1	DMF, TEOA [HTEOA](BF₄) <sup>[d]</sup>	-	LED 476 nm	120 h	1850	ca. 90%	[120]
25	no	PS8	12 a <sup>[a]</sup>	1:5	MeCN/H <sub>2</sub> O, 1:1 TEOA (5%)	7	$\lambda \! > \! 450 \; \text{nm}$	12 h	180	4%	[141]
26	metal	PS9	12 a <sup>[a]</sup>	1:5	MeCN/H <sub>2</sub> O, 1:1 TEOA (5%)	7	$\lambda\!>\!450\;\text{nm}$	12 h	90		[141]
27		PS9	9	4:1	MeCN/H <sub>2</sub> O, 1:2 TEA (10%)	10	$\lambda\!>\!400\;\text{nm}$	5 h	327		[136]
28		PS9	10	4:1	MeCN/H <sub>2</sub> O, 1:2 TEA (10%)	10	$\lambda \! > \! 400 \; nm$	5 h	25		[136]



Table 2: (Continued)

Entry	PS		Cat.	PS/Cat.	Solvent	$pH_{app}$	Light	$t^{[e]}$	$TON_{Co}^{[f]}$	$\Phi^{[g]}$	Ref.
29		PS10b	12 a	1:124	MeCN/ $H_2O$ , 1:1 TEOA (5%)	7	$\lambda\!>\!$ 455 nm	24 h	ca. 36	12%	[142]
30		PS10c	12 a <sup>[a]</sup>	1:74	MeCN/H₂O, 1:1 TEOA (5%)	7	$\lambda >$ 455 nm	24 h	127	33%	[142]

[a] An excess of dmgH<sub>2</sub> ligand is added. [b] 300 equiv TEA + 300 equiv [HTEA](BF<sub>4</sub>). [c] 600 equiv TEA + 600 equiv [HTEA](BF<sub>4</sub>). [d] [HTEOA](BF<sub>4</sub>) is prepared in situ by mixing [HBF<sub>4</sub>] (0.1 m) and [TEOA] (1 m). [e] Turnover number relative to cobalt. [g] Quantum yield.

Figure 20. Structures of various photosensitizers used in combination with cobaloxime  $H_2$ -evolving catalysts.

The photocatalytic performances of various cobaloxime catalysts (9, 12–14, 35), in association with **PS6a**, have also been determined; the best activities were obtained with 14b, which contains a pyridyl ligand substituted by an electron-withdrawing group (Table 2, entry 16). [116,117] This observation contrasts significantly with the results obtained by Artero and co-workers in their former electrocatalytic study, where the highest activities were obtained with electron-donor-substituted cobaloximes, such as 13. [51] This suggests that cobalt-centered catalysis may not be the rate-determining step in light-driven H<sub>2</sub> evolution.

Alberto and co-workers recently re-examined the use of cobaloxime **11** specifically in combination with tricarbonyl-rhenium–diimine sensitizers. <sup>[120,138]</sup> Using **PS5b**, TEOA as the sacrificial electron donor and acetic acid as the proton source in DMF, a TON of 75 was achieved after 9 h irradiation ( $\lambda > 400 \text{ nm}$ ). <sup>[138]</sup> Importantly, a high quantum yield (40%) was measured in the initial stage of the reaction. Exchanging the bromide ligand in **PS5b** by a thiocyanate ligand yields an

improved system that is able to achieve a TON of up to 353 in a 120 h experiment. [120] Upon replacement of acetic acid by HBF<sub>4</sub>, yielding [HTEOA]BF<sub>4</sub>, the apparent quantum yield for hydrogen production reaches 90% at 380 nm. However, the actual quantum yield might be smaller (ca. 45%) if reducing equivalents produced during the dark process (Figure 16) are taken into account. [120] Turnovers up to 1850 per cobalt ion (ca. 300 per dmgH<sub>2</sub> ligand added to the solution to restore the integrity of the catalyst) could be achieved under these conditions, which is the highest efficiency reported to date for a cobaloxime-based photocatalytic system.

As a major breakthrough, in 2009 Eisenberg and co-workers reported the first homogeneous system exclusively based on earthabundant elements. In this system, the noble-metal-based photosensitizers were replaced by organic dyes, such as Eosin Y (PS8) or Rose Bengal (PS9). Other xanthene derivatives, such as fluoresceine, lacking heavy bromide or iodide substituents, were not active under photocatalytic conditions. Actually, the presence of heavy atoms facilitates intersystem crossing and thus production of a long-lived triplet state required for the efficient transfer of electrons to the catalyst. The highest TON (180) was obtained with PS8

and catalyst 12a in the presence of a 12-fold excess of free dmgH<sub>2</sub> ligand. H<sub>2</sub> production ceased after 12 h of irradiation, coinciding with bleaching of the photolysis solution. PS9 was found efficient under the same conditions but less photostable. This photosensitizer was also examined by Wang, Sun, and co-workers in combination with BF2-annulated cobaloximes 9 and 10.[136] Catalyst 9 was the most efficient, with 327 turnovers achieved within 5 h. Injection of PS9 into the photolysis solution restored the activity and allowed about 150 additional turnovers. Catalyst 10, with phenyl substituents at the dioxime ligands, is less active despite a larger driving force in the electron transfer from the excited PS, probably because of its lower nucleophilicity.<sup>[52,100]</sup> In these experiments,[136,141] the presence of CoI is seen by a strong visible absorption near 600 nm. The clathrochelate complexes 28 and 29 were also assayed under similar conditions and were about four times less active than 9. Eisenberg and co-workers further improved their photocatalytic system by replacing the fluorescein-based dve Eosin Y PS8 by rhodamine dve anaReviews

logues containing S or Se in place of O in the xanthene ring (**PS10 a-c**).<sup>[142]</sup> These synthetic modifications confer higher stabilities to the dye, and up to 9000 turnovers with respect to the sensitizer are reached under optimized conditions; however, this corresponds to a TON of only 127 versus Co, and an excess of dmgH<sub>2</sub> ligand is necessary to keep the system active over a 24 h period under irradiation (bleaching is observed after 3 h without added dmgH<sub>2</sub>).

# 3.2. Supramolecular Cobaloxime-Based Photocatalysts

This field was initiated in 2008 from our reports on a series of supramolecular photocatalysts (41-43; Figure 21) for H<sub>2</sub> production based on cobaloxime centers.[100,135] These heterodinuclear ruthenium cobaloxime photocatalysts were obtained by axial cobalt coordination of a pyridine-funcruthenium-diimine tionalized photosensitizer. Their activity can be tuned through manipulation of 1) the Co coordination sphere (compare 41a with 41b, or with 42, in Table 3) and 2) the linker (compare 41a with 41c, 44 with 45, and 47a-d in Table 3). These studies show that supramolecular assemblies are more active than the corresponding multicomponent systems<sup>[100]</sup> and that a conju-

gated bridge is not essential. [143] Compound **41a** achieved 103 turnovers in the course of a 15 h experiment, which is competitive with previously reported Ru–Pt, [144,145] Ru–Pd, [146,147] and Ru–Rh [148] supramolecular systems containing noble-metal-based catalytic centers or the dirhodium photocatalyst [Rh<sub>2</sub>(dfpma)<sub>3</sub>(PPh<sub>3</sub>)(CO)] (dpfma = bis(difluorophosphino)methylamine) developed by Nocera and co-workers. [149] As a major drawback and for still unknown reasons, compounds **41a–c** and **42** require near-UV light to drive H<sub>2</sub> evolution. In contrast, **43**, which bears substituted phenanthroline ancillary ligands on the Ru center, is active under pure visible-light irradiation. [135]

Another component that can be modulated is the photosensitizing unit. Compound **46** with an iridium-based dye achieves a TON of 210 in the course of a 15 h experiment (Table 3, entry 8),<sup>[135]</sup> in good agreement with the observed superiority of iridium over ruthenium photosensitizers.<sup>[133]</sup> Again the supramolecular architecture is more stable than the two-component catalytic system composed of **9** and **PS9**.

Figure 21. Structures of supramolecular cobaloxime-based H<sub>2</sub>-evolving photocatalysts.

which levels off after 165 turnovers (Table 2, entry 6). As the two-component and supramolecular systems display comparable initial turnover frequencies, electron transfer from the photosensitizer to the cobalt center is not likely to be the rate-determining step.

Another strategy recently developed by Sakai and coworkers relies on the spontaneous self-assembly of bipy-appended cyclometallated Ir photosensitizers in the presence of Co<sup>II</sup> ions, thus generating [Co(bipy-L-Ir)<sub>n</sub>]<sup>2+</sup>-type species in situ (47; Figure 21).<sup>[150]</sup> In the presence of TEOA, these systems mediate light-driven H<sub>2</sub> production in CH<sub>3</sub>CN/H<sub>2</sub>O mixtures with a TON of up to 20 (Table 3, entries 9–12). H<sub>2</sub> generation is significantly affected by the CH<sub>3</sub>CN/H<sub>2</sub>O ratio, the TEOA concentration, and the nature of the spacer between the PS and the Co core. Multicomponent systems tested under the same experimental conditions proved to be half as efficient, again pointing out the importance of the supramolecular system.

47d R = H, L = CEC



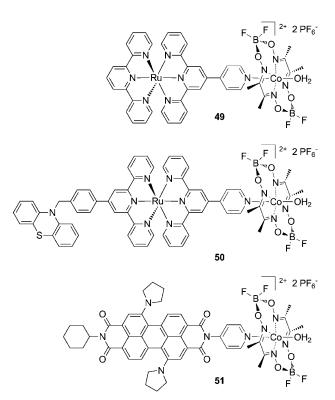
**Table 3:** Photocatalytic performances of cobalt-based supramolecular  $H_2$ -evolving catalysts.

Entry	Cat.	Conditions	$t^{[a]}$	Light	TON	Ref.
1	41 a	acetone, TEA [HTEA](BF₄)	4 h 15 h	white light $\lambda > 350 \text{ nm}$	56 103	[100]
2	42	acetone, TEA [HTEA](BF <sub>4</sub> )	4 h	white light	17	[100]
3	41 b	acetone, TEA [HTEA](BF <sub>4</sub> )	4 h	white light	12	[100]
4	41 c	acetone, TEA [HTEA](BF <sub>4</sub> )	4 h	white light	104	[100]
5	43	acetone, TEA [HTEA](BF <sub>4</sub> )	4 h	$\lambda >$ 380 nm	9	[135]
6	44	acetone, TEA [HTEA](BF <sub>4</sub> )	8 h	$\lambda >$ 400 nm	38	[143]
7	45	acetone, TEA [HTEA](BF <sub>4</sub> )	8 h	$\lambda\!>\!400~\text{nm}$	48	[143]
8	46	acetone, TEA [HTEA](BF <sub>4</sub> )	15 h	$\lambda >$ 380 nm	210	[135]
9	47 a <sup>[b]</sup>	MeCN/H <sub>2</sub> O, 1:1 TEOA (15 mм)	8 h	$\lambda = 400-700 \text{ nm}$	20	[150]
10	<b>47</b> b <sup>[b]</sup>	MeCN/H <sub>2</sub> O, 1:1 TEOA (15 mм)	8 h	$\lambda \! = \! 400 \! - \! 700 \text{ nm}$	8	[150]
11	<b>47 c</b> <sup>[b]</sup>	MeCN/H <sub>2</sub> O, 1:1 TEOA (1.2 M)	8 h	$\lambda \! = \! 400 \! - \! 700 \text{ nm}$	3.9	[150]
12	<b>47</b> d <sup>[b]</sup>	MeCN/H <sub>2</sub> O, 1:1 TEOA (1.2 M)	8 h	$\lambda$ = 400–700 nm	2.5	[150]
13	48 a	THF/H₂O, 8:2 TEA	5 h	$\lambda\!>\!400\;\text{nm}$	22	[151]
14	48 b	THF/H₂O, 8:2 TEA	5 h	$\lambda\!>\!400\;\text{nm}$	3	[151]
15	48 c	THF/H <sub>2</sub> O, 8:2 TEA	5 h	$\lambda >$ 400 nm	<1	[151]

[a] Illumination time. [b] The supramolecular assembly is prepared by mixing in situ CoCl<sub>2</sub> with 3 equiv of the bipy-appended iridium complex.

Finally, noble-metal-free supramolecular devices have been reported by the group of Wang and Sun.[151] They assembled pyridyl-functionalized porphyrin photosensitive units to a cobaloxime complex by axial cobalt coordination (Figure 21; 48a-c). Modification in the central atom of the porphyrin results in drastic variations of the photocatalytic activity: whereas small amounts of H2 are detected with either the Mg porphyrin 48b or the free-base porphyrin 48c, TONs of up to 22 are achieved with the Zn-based device 48a in a 5 h experiment. On the basis of spectroscopic studies, the authors suggest that an axial weak coordination of TEA to Zn could account for the highest performance of 48 a through an innersphere electron transfer process. Under the same experimental conditions, a three-component catalytic system ([Zn-(PyTBPP)] + 12a + TEA) does not evolve any detectable amount of  $H_2$ .

In a recent study, Tiede et al. compared, in terms of ground state CH<sub>3</sub>CN-phase structures and excited-state characteristics, three new axially coordinated cobaloxime-based supramolecular structures (Figure 22) containing distinct photosensitive moieties, such as ruthenium(II) bis(terpyridyl) in **49** and **50** and perylene-3,4:9,10-bis(dicarboximide) (PDI) in **51**. [152] An equilibrium is observed between the axially coordinated supramolecular assembly and the dissociated cobaloxime and PS fragments, with **49** retaining 90 %



**Figure 22.** Cobaloxime-based supramolecular assemblies studied by Tiede et al.  $^{[152]}$ 

of its integrity and **51** only 19%. Furthermore, a phenothiazine (PTZ) donor moiety on the PS fragment in **50** was introduced to stabilize the charge-separated state within oxidized PTZ and reduced cobaloxime by a push–pull effect. These systems have not yet been tested for photocatalytic hydrogen production.

The weakness of the coordination bond between the PS and the cobaloxime fragments is thus a major drawback of these systems. Next generations of supramolecular assemblies, in particular targeting the development of efficient and stable photocathodes in photocatalytic devices, will necessitate a covalent attachment of the two fragments.

A system recently appeared in which the cobaloxime 14c and the photosensitizer  $[Ru(bipy)_2\{2,2'\ bipyridine-4,4'-diylbis(phosphonic acid)\}]^{2+}$  are electronically connected by a  $TiO_2$  particle. Both molecules with phosphonate anchoring groups are grafted onto the suface of  $TiO_2$  with a ratio PS/catalyst = 3. Hydrogen (TON 53) is evolved when this system is placed in pH 7 TEOA aqueous buffer and exposed to visible light. This design takes advantage of the ultrafast electron injection from the excited state of the photosensitizer into the conduction band of  $TiO_2$ , from which they may be further transferred to the catalyst. Direct electron transfer was however not discarded. It however still suffers from photoinstability of the ruthenium dye.

## 3.3. Influence of the Various Experimental Parameters

Optimization of PS/catalyst combinations is not a trivial process. Indeed, photocatalytic efficiency strongly relies on a



broad range of parameters (such as solvent, apparent pH, nature of the sacrificial electron donor) that cannot be optimized independently. Thus when experimental conditions have been optimized for a given system, it is difficult to transpose them to another system.

Pronounced solvent effects are observed for all of the photocatalytic systems that have been described in the literature. DMF,  $CH_3CN$ , or acetone appear to be solvents of choice, generally in combination with water (up to 66%). For these mixed solvents, it is often claimed that water acts as the proton source, although protonated forms of TEA or TEOA should also be considered. Even though such solvent mixtures with water provide a first step towards using water as a solvent in applications for water photolysis, the systems reported above are generally inactive when assayed in pure aqueous buffers. To date, and to the best of our knowledge, combinations of **PS9** with **9** (10% aqueous TEA, pH 10; 21 turnovers achieved within 5 h)<sup>[136]</sup> and of **PS1** with [Co-(bipy)<sub>3</sub>]<sup>2+[127]</sup> are the only cobalt-based photocatalytic systems reported to evolve  $H_2$  in aqueous solution.

The acidity of the medium is perhaps the most important parameter to consider in the optimization of the photocatalytic reaction conditions. Sometimes, the authors measure an apparent pH with a glass electrode. [131] It should be noted that apparent pH is not well defined in a non-aqueous mixture and its determination is difficult to carry out reproducibly as the linearity of the response of a glass electrode is only warranted in water solutions of pH < 12. Other groups measure the pH of a 10% v/v aqueous solution of TEA or TEAO before mixing it with CH<sub>3</sub>CN.<sup>[117,141]</sup> In that case, the apparent pH may vary significantly with the composition of the final medium. In any case, a strong dependence of pH is observed for most light-driven H<sub>2</sub>-evolving tems.  $^{[120,131,136,138,139,141]}$  No  $H_2$  production was generally observed if the pH<sub>app</sub> value was lower than 6-8 or higher than 12-13. At low pH values, protonation of TEA or TEAO decreases the amount of the redox-active basic form acting as sacrificial electron donor for regeneration of the photosensitizer. At more basic pH values, protonation of the Co<sup>I</sup> catalyst becomes unfavourable. [131,154] Eisenberg and co-workers have shown that for systems based on the same cobalt catalyst (12) and sacrificial electron donor (TEOA) in CH3CN/H2O mixtures, maximal TONs are obtained at pH<sub>app</sub> 8.5 using **PS6** platinum photosensitizers, [117,139] whereas the optimal pH<sub>app</sub> is about 7 with **PS8**.<sup>[141]</sup> As the notion of pH is difficult to define in non-aqueous solvent, Alberto and co-workers use the ratio between the acid (CH<sub>3</sub>COOH or HBF<sub>4</sub>) and the basic sacrificial electron donor concentrations as a parameter. Alternatively, a form of buffer can be made by introducing equimolar amounts of the sacrificial electron donor and of its conjugated acid, such as TEA/[HTEA][BF<sub>4</sub>], as done in our studies.[100,135]

Finally, deactivation of the system is often observed after several hours, but generally not understood at the molecular level. Production of Co nanoparticles, deprived of any H<sub>2</sub>-evolving activity,<sup>[117]</sup> is a possibility that cannot be excluded as it has been observed for noble-metal-based catalytic systems.<sup>[155]</sup> Bleaching of the catalyst is the major cause of inactivation in the case of systems based on organic

dyes.<sup>[136,141]</sup> Metal–diimine photosensitizers, such as **PS1**, are also known to photodecompose,<sup>[131]</sup> but rhenium-based photosensitizers are significantly more stable.<sup>[138]</sup> More generally, it appears to be crucial to determine whether the deactivation process is an intrinsic feature of the photocatalytic system or is specifically due to the use of a sacrificial electron donor generating potentially damaging radical species. The fact that a mixture of dyes **PS10b,c** and TEOA rapidly bleach in the absence of catalyst supports the latter hypothesis.<sup>[142]</sup>

#### 3.4. Mechanisms

A first mechanistic issue regards whether reduction of the cobalt center occurs by direct oxidative quenching of the excited photosensitizer PS\* or by reaction with the reduced photosensitizer PS<sup>-</sup>, derived from a reductive quenching process of PS\* by the sacrificial electron donor.

Photophysical studies have been carried out so as to adress this issue. Ruthenium-diimine photosensitizers, such as PS1 or PS2, absorb visible photons at around 450 nm, which promote one electron from the metal d orbitals to the antibonding  $\pi$  orbital of a diimine ligand. The excited state formed immediately upon excitation with a S=0 spin configuration (singlet) rapidly converts into a triplet state (S=1) though a mechanism called intersystem crossing and involving spin-orbit coupling. This yields an excited state PS\* (Figure 16) with quite a long lifetime (a few us) that can be described as a RuIII center coordinated to a reduced diimine radical anion ligand. [156] Because of the presence of an electron in the  $\pi^*$  orbital of a bipy ligand, this excited state is a quite powerful reducing agent, with a standard potential of -0.86 V vs NHE in the case of **PS1**. At the same time, and owing to the presence of an unoccupied d orbital at the metal center, PS\* can also act as a powerful oxidizing species with  $E^{\circ} = 0.84 \text{ V}$  versus NHE in the case of **PS1**. [157] The nature and concentration of redox partners then essentially control the reactivity of PS\* as either a reducing or oxidizing species. Different systems are discussed below.

When  $[\text{Co(bipy)}_3]^{2^+}$  is used as the catalyst and ascorbate employed as the sacrificial electron donor in a photochemical  $H_2$ -evolving system, PS\* (**PS1** or **PS2**) is first reduced by ascorbate into PS<sup>-</sup> (reductive quenching; Figure 16). In the presence of TEOA however, oxidative quenching (Figure 16) yielding  $[\text{Co(bpy)}_3]^+$  is faster than conversion of PS\* into PS<sup>-</sup>.[57,60,128]

With cobaloxime as a catalyst, a reductive quenching process dominates in the presence of TEOA. In the case of H-bridged cobaloximes, this observation is consistent with the quite negative  $\text{Co}^{\text{II}}/\text{Co}^{\text{I}}$  redox potential. Transient optical spectroscopic measurements and  $\mathbf{41a}$ ,  $\mathbf{49}$ , and  $\mathbf{50}$ , containing a BF2-annulated cobaloxime, show only a slight effect of the Co center on the RuII MLCT excited-state lifetime, confirming that reductive quenching by the sacrificial electron donor is the major pathway. In contrast, oxidative quenching is possible when the catalytic center is in the  $\text{Co}^{\text{III}}$  state.

Similar studies have been carried out with other photosensitizers. For example, diffusion-controlled reductive



quenching operates in the case of rhenium-based photosensitizers, such as **PS5 b,c**, [152] whereas oxidative quenching is evidenced in the case of platinum-based sensitizers, such as PS6a.[152] Finally, with iridium-based PS3 and PS4 photosensitizers, both mechanisms can operate depending on the relative concentrations of the catalyst and the electron donor.[133]

Electron transfers from organic dyes were also examined by fluorescence spectroscopy and laser flash photolysis techniques. For xanthene dyes PS8 and PS9, the electron transfer to cobaloxime (either CoII or CoIII states) was found to occur from the triplet state. [136,141] Both oxidative and reductive quenching mechanisms may contribute to catalysis. In contrast, the Co<sup>III</sup> clathrochelate complexes 28 and 29 can oxidatively quench both the singlet and triplet excited states of PS9. [136] No oxidative quenching of the excited state of the perylene-3,4:9,10-bis(dicarboximide) moiety by the Co<sup>II</sup> center could be seen in 51, but this might be due to the large extent of dissociation of this compound in solution.<sup>[152]</sup>

A second important issue regards the mechanism of H<sub>2</sub> formation. In the polypyridine-cobalt series, the major cobalt(II) complexes formed in solution are the bipyridine species  $[Co(bipy)_n]^{2+}$  (n = 1 and 2). Generation of cobalt(I) complexes under irradiation is seen by the blue color of the solution, and dissociation of bipy ligands from [Co<sup>I</sup>(bipy)<sub>3</sub>]<sup>+</sup> has been evidenced under catalytically relevant conditions. [58] In fact, the hydride complex 22, independently prepared by pulse radiolysis of aqueous CoSO<sub>4</sub>-bipy mixtures in the presence of radical scavengers, [59] is likely to be the key catalytic intermediate during hydrogen photogeneration from aqueous solutions.[57,60]

Formation of a Co<sup>I</sup> species has been also observed using spectroscopy during reactions involving cobaloxime catalyts in combination with platinum sensitizers[117] or organic dyes in solution.<sup>[136]</sup> From photogenerated Co<sup>I</sup> species, protonation yields Co<sup>III</sup>-hydride species. At that stage, three different pathways can operate to liberate H<sub>2</sub> and close the cycle. First, the Co<sup>III</sup>-hydride can directly react with a proton to liberate H<sub>2</sub> via a heterolytic pathway, followed by a reduction step yielding the Co<sup>II</sup> species (heterolytic path A in Figure 13). Second, as proposed for the BF<sub>2</sub>-annulated cobaloxime 9, reduction of the CoIII hydride to the CoII hydride complex proceeds before heterolytic reaction with a proton, liberating H<sub>2</sub> (heterolytic path B in Figure 13).<sup>[52,101]</sup> In both mechanisms, an extra electron is thus required, which can arise either from a second light-driven cycle or from a dark transfer process, that is, degradation of the radical cation D<sup>+</sup>, which furnishes one equivalent of proton and one equivalent of electron (Figure 16). The third pathway is homolytic in nature, with hydrogen evolution resulting from the reductive elimination from either two CoIII hydride or two CoII hydride species (homolytic path A and B in Figure 13).

During the course of a light-driven H<sub>2</sub> production catalysed by PS5b and 11, Alberto and co-workers observed a quadratic dependence of the rate of H2 evolution as a function of the total concentration of cobalt catalyst. This indicates a second-order process in cobalt as the ratedetermining step and would be consistent with a homolytic mechanism. Other systems however display a linear dependence of the rate of H<sub>2</sub> production with respect to Co concentration.<sup>[117,135]</sup> In that case, it is very difficult to conclude for a heterolytic cobalt-centered H<sub>2</sub>-evolving step, as other first-order processes in cobalt, such as electron transfer from the photosensitizer to the cobalt center, appear in the overall mechanism (Figure 15) and may also be ratedetermining.[158]

In conclusion, cobaloxime complexes, unlike [Co-(bipy)<sub>3</sub>|<sup>2+</sup>, are not very efficient quenchers of excited states of metal-diimine photosensitizers, and most light-driven H<sub>2</sub>evolving processes catalyzed by cobaloximes depend on a first reductive quenching step involving the sacrificial electron donor. Exceptions to this rule are found when organic dyes of the xanthene series are used. [136,141,142] Furthermore, the latter systems only contain earth-abundant elements. They thus appear as good candidates for the construction of H<sub>2</sub>-evolving photocathode materials through their grafting onto transparent conductive materials.

## 4. Electrode Materials

A number of groups have extended their studies of H<sub>2</sub>evolving metal catalysts (Section 2) to their immobilization on surfaces and characterization of the systems thus formed with the objective of designing cheap and robust carbonbased electrodes for H<sub>2</sub> production.

Covalent grafting appears to be the method of choice for the design of stable electrocatalytic materials. [84,159] Spiro et al. initially reported covalent grafting of a cobalt meso-tetrakis(2-aminophenyl)porphyrin on glassy carbon electrodes by an amide link with carboxylic acid surface groups. These electrodes displayed high activity for H<sub>2</sub> production at a low overpotential (200 mV) in neutral aqueous solution (phosphate buffer) but were found quite fragile upon cycling, indicating that the attachment is not stable under the catalytic conditions.<sup>[160]</sup> Peters grafted the bis(imine-oxime) complex 20 a via the formation of inorganic ester linkages between the carboxylic groups of the ligands and surface metal-hydroxyl functions of an ITO electrode. Surface coverage was estimated to roughly correspond to a monolayer. However no clear evidence was given about the gain in electrocatalytic performances as compared to bare ITO electrodes under similar conditions. Furthermore leaching of the catalyst is observed over hours.<sup>[56]</sup>

Alternatively, thick electroactive films were obtained by copolymerization of the cobalt complex 7 with p-xylyl- $\alpha$ - $\alpha'$ dibromide to form a cross-linked pyridinium-based polycation. A solution of the polymer in CHCl<sub>3</sub>/ethanol solution was deposited on a glassy carbon electrode and evaporated. The resulting film shows catalytic H<sub>2</sub> evolution activity but the cathodic current decreased upon successive scans. Disrupting processes (with H<sub>2</sub> bubble formation) or chemical alteration at the film-electrode interface that would inhibit electron transfer between the electrode and the catalyst were postulated, because spectroscopic measurements carried out before and after catalysis did not reveal any leaching or degradation of the catalyst. Similar results were obtained with cobalt protoporphyrin films obtained by electropolymerization at



the surface by the electro-oxidation of peripheral vinyl substituents. [160]

Spiro et al. reported that stable catalytic materials can be simply obtained by incorporating positively charged cobalt porphyrin complexes 6 and 8 (Figure 2) into a Nafion film laid onto a glassy carbon electrode. However, a low electroactivity was observed in 0.1 mol L<sup>-1</sup> aqueous TFA solution (26 turnovers achieved after 90 min at -0.95 V versus SCE when 6 is used as the catalyt), because of the poor electrontransfer characteristics of Nafion films.[160] When coated on a bare pyrolytic graphite electrode neutral, [Co(TPP)] (Figure 2) incorporated in a Nafion film can reduce protons, but only with a large overpotential (-0.7 V versus Ag/AgCl; pH 1) and a quite low 70 h<sup>-1</sup> turnover frequency value.<sup>[70]</sup> Better catalytic activity (TOF  $2 \times 10^5 \, h^{-1}$ ) was observed with an applied potential of -0.90 V vs Ag/AgCl and at pH 1 for cobalt phthalocyanine 52a (Figure 23) incorporated in a poly(4-vinylpyridine-co-styrene) film deposited on a graphite electrode.<sup>[71]</sup> Other derivatives **52b** and **52c** were shown to be less active under similar conditions. Here again the catalytic proton reduction was limited by the electron transfer within the matrix.

A very active electrode material was obtained when GC electrodes were cycled (or poised) at a negative potential in a CH<sub>3</sub>CN solution containing cobaloxime 9 and 0.05 mol L<sup>-1</sup> solutions of p-toluenesulfonic acid. [56] The resulting modified electrode shows high catalytic currents corresponding to H<sub>2</sub> evolution from aqueous solutions (pH 2-7) with low overvoltages. Mixing 9 with black carbon and Nafion also yields an electroactive material that evolves H<sub>2</sub> from aqueous sulfuric acid solutions with onset potential as low as  $-0.45 \,\mathrm{V}$  vs SCE.<sup>[54]</sup> However in these two examples, there is no evidence for a conserved integrity of 9 within the modified electrode materials during catalysis. Electrochemical deposition of metallic cobalt or cobalt oxide/hydroxide on electrode surfaces is a well-known process that could occur under these circumstances, and electrodeposited cobalt coatings are known to catalyze H<sub>2</sub> evolution at potentials similar to that reported for electrodes obtained from 9.[161]

Figure 23. Structures of cobalt phthalocyanine complexes incorporated into polymer films and deposited onto electrode surfaces.

R = SO<sub>3</sub>H, R' = H 52c

# 5. Cobalt Catalysts for Water Oxidation

As discussed above, the need to find new economically viable materials for water oxidation also excludes the development of noble metals (Pt, Pt/Ru) and noble metal oxides (RuO $_2$  or IrO $_2$ ), [162–165] even though such materials, with an overpotential requirement not exceeding 150–200 mV under acidic conditions, are the most active catalysts to date. [166] In naturally occurring systems, a first-row transition metal, manganese, achieves water oxidation catalysis at neutral pH. The oxygen-evolving center in photosystem II contains a mixture of manganese and calcium ions in a CaMn $_4$  stoichiometry, consisting of a CaMn $_3$  cubane arrangement, where ions are bridged by oxo groups, with a fourth manganese ion linked to this cluster. [4,167]

Recently, great achievements have been made regarding manganese- and ruthenium-based homogeneous molecular coordination compounds[41,43,159,168] as catalysts for water oxidation: the first report by Meyer and co-workers was on the so-called "blue dimer", a binuclear oxo-bridged ruthenium complex.[169] However, all of these compounds contain organic ligands that are thermodynamically unstable under the highly oxidizing conditions of the reaction and thus suffer from extensive oxidative degradation. As a consequence, most of these catalysts reported to date are oxidatively deactivated during reaction and function only during very short time periods. Nevertheless, these compounds provide unique tools to understand the chemistry of the oxygenevolving process at work in biological photosystems. However, at present they do not appear to be competitive with to the more robust and active heterogeneous oxide materials. Exceptions recently appeared in the literature with polyoxometallate compounds containing active sites for water-oxidation catalysis embedded into a fully inorganic framework resistant to oxidative corrosion. [39,40,170,171] At the same time, there has been a renewed interest regarding solid metal oxide/ hydroxide materials and nanomaterials as promising water oxidation catalysts and their further implementation into light-driven systems.

# 5.1. Co" /Co": A Redox Couple for Water Oxidation

The competence of cobalt complexes for catalytic oxygen evolution from water was recognized long ago. [172] On the basis of standard potentials of the  $[M(H_2O)_6]^{3+}/(M(H_2O)_6]^{2+}$  redox couple in the first-row transition-metal series, cobalt provides the most oxidizing system ( $E^0$  = 1.84 V vs NHE, acidic solution) before manganese (1.59 V vs NHE) and iron (0.77 V vs NHE). As a consequence, in the absence of ligands that stabilize  $Co^{III}$ , oxidation of  $Co^{II}$  is very unfavorable and  $Co^{III}$  is reduced by water. It is tempting to suggest that manganese was preferred to cobalt at the catalytic site of photosystem II, not only because of the lowest abundance of cobalt in the earth crust, but also because the redox potentials of high-valent cobalt species lie too high above that of water oxidation.

Seminal papers on cobalt-catalyzed water oxidation appeared in the 70s and 80s that showed that Co<sup>II</sup> salts are



able to catalyze the oxidation of water by permanganate, [173,174] hypochlorite, [175] persulfate, [175] perruthenate, and ferrate, [174] and also  $[IrCl_6]^{2-}$  and  $(Ru(bipy)_3]^{3+}$  complexes. [176] In 1967, Anbar and Pecht proposed a mechanism for water oxidation in acidic to neutral solution that involved a bis(µhydroxo)dicobalt(III) species. HO2 radicals are generated from this dimeric species through an inner-sphere process and then O<sub>2</sub> is generated. [177] In 1978, Shafirovich et al. showed for the first time that Co<sup>II</sup> ions could behave as electrocatalysts for the oxidation of water at basic to neutral pH.[178] Since the catalytic wave was observed at considerably more negative potentials (0.9–1 V vs SCE at pH 10) than that of the Co<sup>III</sup>/ Co<sup>II</sup> couple (1.6 V vs SCE) and at basic pH values, it was concluded that the active catalyst was a cobalt hydroxo species adsorbing onto the surface of the electrode so that the electrocatalytic wave is a mixed "volume"-"surface" response. In this system, electrochemical oxidation of CoII was supposed to generate high-valent CoIV hydroxo complexes responsible for the bielectronic oxidation of water to hydrogen peroxide, which eventually decomposes into oxygen (Figure 24) and water. CoII is regenerated during the H2O2 formation step. The mechanism shown in Figure 24 was established by Sutin et al. in 1983 from kinetic studies of the Co<sup>II</sup>-catalyzed oxidation of water by [Ru(bpy)<sub>3</sub>]<sup>3+</sup>.<sup>[179]</sup> The rate constant for the reaction of Co<sup>IV</sup> with water or hydroxide ions was estimated to be 100 s<sup>-1</sup>. However, mechanistic details of the O–O bond formation reaction at Co<sup>IV</sup> still have not been obtained.

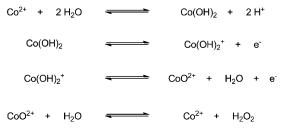
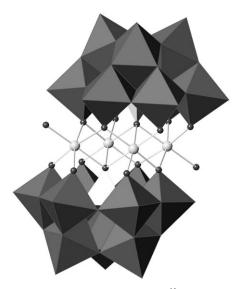


Figure 24. Mechanism established from kinetic studies for the Co<sup>II</sup>catalyzed oxidation of water in basic solution. [Ru(bpy)<sub>3</sub>]<sup>3+</sup> is acting as the oxidizing species.

Recently, Hill and co-workers described the catalytic activity for water oxidation of the homogeneous polyoxometalate inorganic compound  $[Co_4(H_2O)_2\{\alpha-PW_9O_{34}\}_2]^{10-}$ that contains an active Co<sub>4</sub> core (Figure 25). [180] This complex, initially prepared in 1973, [181] is free of carbon-based organic ligands and is thus expected to be resistant to oxidation. Cyclic voltammetry of  $[Co_4(H_2O)_2(\alpha-PW_9O_{34})_2]^{10-}$  shows a large catalytic current with a low overpotential for water oxidation (E = 1.1 V vs Ag/AgCl, pH 8). Using  $[\text{Ru(bpy)}_3]^{3+}$ as the oxidant, O<sub>2</sub> evolution from water (pH 8) was observed (60% yield, 75 turnovers,  $TOF = 5 s^{-1}$ ). The reaction is limited in part by oxidation of the bipyridine ligand. With a large concentration of the oxidant (2.4 mmol L<sup>-1</sup>) and 0.12 µmol L<sup>-1</sup> catalyst, a turnover number of 1000 was obtained in 3 min. The authors provide several points of evidence that the observed activity is not driven from Co ions or/and Co hydroxide/oxide species released from the polyoxometalate as the result of oxidative degradation.



**Figure 25.** Structure of  $[Co_4(H_2O)_2\{\alpha-PW_9O_{34}\}_2]^{10-}$ . The  $\{\alpha-PW_9O_{34}\}$ subunits are represented as polyhedra with dark gray {WO<sub>6</sub>} octahedra and light gray  $\{PO_4\}$  tetrahedra. Cobalt ions: gray spheres, oxygen atoms coordinated to cobalt: dark gray spheres.

#### 5.2. Cobalt Oxides

There have been many reports in the literature concerning the use of cobalt oxide/hydroxide materials as electrode coatings that catalyze water oxidation. Various methods of preparation from soluble CoII salts (anodic deposition in alkaline[161,182-185] or acidic to neutral[186,187] solution, cathodic deposition from CoCl<sub>2</sub>, [188-196] possibly in the presence of acetate anions, [197,198] passivation of a cobalt electrode, [199] chemical vapour deposition, [200] or reactive sputtering in O<sub>2</sub> plasma<sup>[201]</sup>) have been described and these result in distinct stoichiometries. The activity of cubic Co<sub>3</sub>O<sub>4</sub> nanoparticles has also been reported. [202,203] All of these compounds are typically assayed as catalytic coatings for water oxidation and require overpotentials in the 200-400 mV range<sup>[204]</sup> in strongly alkaline aqueous solution where they are more stable than noble metal oxides. Several distinct mechanisms have been proposed to account for such a catalytic activity. [199,205] Recently however, this field of research experienced a burst of interest, and the possibility of using such cobalt oxide coatings to catalyze water oxidation in neutral aqueous solutions has now been extensively reconsidered.

Oxidation of aqueous CoII was reinvestigated by Nocera in 2008. Controlled potential electrolysis of Co<sup>II</sup> salts in pH 7 phosphate (P<sub>i</sub>) buffer at 1.3 V vs NHE resulted in the deposition of an amorphous CoIII oxide/hydroxide precipitate. [206,207] This precipitation occurs at the surface of different conducting materials (such as ITO, FTO (F:SnO<sub>2</sub>), or glassy carbon). The deposit is resistant to dehydration and the effects of air exposure and mechanical treatment. The absence of crystalline features in the powder X-ray diffraction pattern and diffraction patterns in the TEM indicates that the active unit is less than 5 nm in size and thus of molecular nature.[207] The nuclearity of the clusters in the material depends on the thickness of the deposit. [208] Chemical analysis



and X-ray absorption spectroscopy were in good agreement with Co atoms, which are predominantly in an octahedral configuration with 6 oxygen ligands (d(Co-O) = 1.89 Å, d(Co-Co) = 2.8 Å). Two non-exclusive structures have been proposed for the molecular clusters at the surface and/or in the bulk material (Figure 26). The first consists of intercon-

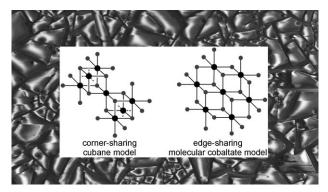


Figure 26. Scanning electron micrograph of a film obtained by the method reported by Nocera and proposed structural motif deduced from XAS data relating to the bulk of this catalytic film material. Cobalt ions: black spheres, oxygen atoms: gray spheres.

nected Co<sup>III</sup>—oxo/hydroxo cubanes, with complete or incomplete cubanes sharing a Co corner.<sup>[208–210]</sup> The second is based on edge-sharing CoO<sub>6</sub> octahedra in Co—oxo/hydroxo incomplete cubane cluster forming tile-shaped units whose structure is analogous to that of alkali metal cobaltate.<sup>[208]</sup> Phosphate ions may be present as terminal, but not bridging, ligand to cobalt centers.<sup>[209]</sup>

Films with similar structure and compositions are formed when sputter-deposited thin films (800 nm thick) of cobalt metal are anodized in a phosphate electrolyte, thereby indicating similarities between surface metal oxide coatings obtained upon passivation of cobalt electrodes and bulk metal oxide films deposited from Co<sup>II</sup> solutions.<sup>[211]</sup>

The obtained solid material was shown to be catalytically active for the electrooxidation of neutral pH (phosphate buffer) water in the absence of Co<sup>II</sup> ions in solution. Indeed, electrolysis at 1.3 V vs NHE (0.5 V overpotential) resulted in the formation of O2, which is derived from water as shown from labeling experiments and mass spectrometry, with a Faradaic efficiency close to 100% and current densities in the order of 1–10 mA cm<sup>-2</sup>. A current density of 1 mA cm<sup>-2</sup> requires an overvoltage of 0.4 V; turnover frequencies of 0.001 s<sup>-1</sup> can be estimated. Under such neutral conditions, this catalyst oxidizes water preferentially to chloride ions. [207] Similar catalytic films can be electrodeposited from pH 8.5 methylphosphonate and pH 9.2 borate electrolytes. These thicker coatings achieve a higher current density of 100 mA cm<sup>-2</sup> for water oxidation at 442 and 363 mV overpotential, respectively.[212]

The mechanism of water oxidation catalyzed by Co-oxo/hydroxo species shown in Figure 23 also applies to the system described here. Formation of the catalytic film results from oxidation of  $\text{Co}^{\text{II}}$  to  $\text{Co}^{\text{III}}$ , which as expected precipitates on the surface of the electrode in the presence of phosphate. Further oxidation generates  $\text{Co}^{\text{IV}}$  oxo species from which  $\text{O}_2$  is

produced.<sup>[213]</sup> In this last reaction step, Co<sup>II</sup> is regenerated. EPR spectroscopy provided clear evidence for the formation of CoIV species during electrocatalytic water oxidation. [214] XAS measurements are also consistent with a valency for cobalt of greater than 3. [208] Importantly, the film undergoes continual reduction (associated with O2 evolution) upon switching to an open circuit from 1.25 V vs NHE. This property is related to the molecular nature of the film: by comparison, solid-state cobaltate materials with similar high cobalt valencies are kinetically stable to water under similar conditions. The overall mechanism involves proton-coupled electron-transfer steps before the generally rate-determining O<sub>2</sub> evolution step. Indeed it is likely that O–O bond formation requires the corresponding molecules of water or hydroxide anions to be activated by deprotonation. It has been suggested that phosphate ions, acting as proton acceptors, play a major role in this process.<sup>[213]</sup>

Another function of phosphate ions resides in their ability to continuously reintegrate solubilized Co<sup>II</sup> ions into the film during catalysis through precipitation of Co<sup>III</sup> ions.<sup>[215,216]</sup> Indeed, during turnover or when the electrode is held at open circuit potential, Co<sup>II</sup>, a substitutionally labile high-spin ion in an oxygen environment, returns to the solution (dissolution of the oxide); however, under oxidizing conditions and in the presence of phosphate, the substitutionally inert low-spin d<sup>6</sup> Co<sup>III</sup> ion is immediately deposited back at the surface of the electrode. This repair process and the role of phosphate ions have been well-established using films labeled with radioactive <sup>57</sup>Co and <sup>32</sup>P and monitoring release/uptake of the isotopes during water oxidation catalysis.<sup>[215]</sup>

This metal oxide is one of the rare examples for which activity at neutral pH is demonstrated. In fact, a cobalt oxide material of composition  $CoO_m \cdot nH_2O$  (with m = 1.4-1.7 and n = 0.1-1) was reported in 1964. This compound was formed by anodic deposition of a cobalt salt, such as nitrate, sulphate, acetate, and fluoroborate, at 0.7 V vs SCE in aqueous solution (pH 6 or 7). This material is described as being stable at a pH above 1 in solutions containing chloride, sulphate, or nitrate ions and catalyzes water oxidation in 0.5 mol L<sup>-1</sup> K<sub>2</sub>SO<sub>4</sub> solution at 1.43 V vs SCE. More recently, Stahl and co-workers deposited a fluoride-containing cobalt oxide on a FTO electrode from CoSO<sub>4</sub> solution (pH 3.5) in the presence of fluoride anions. [217] This compound was found to be active for sustained water oxidation at 1.6 V vs NHE from solutions of initial pH 3.7 in the presence of fluoride anions and stable for pH > 3. The current density (ca. 2 to 6 mA cm<sup>-2</sup>) observed at the electrode increases with the concentration of fluoride in solution (0.1 to  $1 \text{ mmol } L^{-1}$ ). Fluoride anions were thus proposed to act as proton acceptors, in the same way as phosphate anions do in Nocera's studies.<sup>[218]</sup> This material does not differ much from those obtained by the groups of Nocera<sup>[207]</sup> or Chen and Noufi<sup>[187]</sup> in terms of the overpotential for O2 evolution. However, distinct current densities are observed that result from different thicknesses of the electrodeposited material and/or different conductivities of the coatings. A strong dependence of current densities with the nature of the electrolyte (F or P<sub>i</sub>) is noted and rationalized in terms of electrolyte competing with water for coordination to cobalt during turnover.



# 5.3. Photochemical Water Oxidation: From Homogeneous Systems to Photoanode Materials

#### 5.3.1. Soluble Systems and Particles

The use of cobalt salts to catalyze light-driven water oxidation was initially investigated by Shafirovich et al. in 1980.<sup>[176]</sup> Irradiation of a phosphate-buffered (pH 5–7) aqueous solution of [Ru(bipy)<sub>3</sub>]<sup>2+</sup> and [Co(NH<sub>3</sub>)<sub>5</sub>Cl] generates low yields of O<sub>2</sub>. It is proposed that hydrolysis of [Co(NH<sub>3</sub>)<sub>5</sub>Cl] liberates Co<sup>II</sup> ions, which then behave as precursors of the active species. Addition of CoSO<sub>4</sub> into the photolysis solution indeed increases the yield for  $O_2$  evolution significantly.<sup>[219]</sup> A quantum efficiency of 12% could be measured. This system was studied by Sutin and co-workers to further characterize the reaction mechanism, but the nature of the catalyst remains undefined. [179] Recently, Styring and co-workers observed that a colloidal catalyst with spherical nanoparticules (10-60 nm radius) is produced when methylenediphosphonate is added to the photolyzed solution. Oxygen (TON≈20) is produced in aqueous solution at pH 7 under visible irradiation with [Ru(bipy)<sub>3</sub>]<sup>2+</sup> as the photosensitizer and peroxodisulfate as the electron acceptor. [220]

Harriman and co-workers investigated a combination of various dispersed powders of metal oxides with  $[Ru(bipy)_3]^{2+}$  as the photosensitizer and peroxodisulfate as the electron acceptor for light-driven water oxidation in deaerated aqueous NaSO<sub>4</sub> solution (pH 5).<sup>[221]</sup> The spinels NiCo<sub>2</sub>O<sub>4</sub> and Co<sub>3</sub>O<sub>4</sub> were found to be quite active under these conditions, with Co<sub>3</sub>O<sub>4</sub> ranking just below the species  $IrO_2$  and above  $RuO_2$ .

A similar system was recently reported by the group of Frei using cobalt oxide nanoparticles deposited on silica. [222] By using wet impregnation of SBA-15 silica by Co(NO<sub>3</sub>)<sub>2</sub> in ethanol followed by controlled calcination, cobalt oxide nanoclusters could be formed in the mesopores of silica. Structural characterization reveals spheroid-shaped bundles (bundle diameter 35 nm) of parallel Co<sub>3</sub>O<sub>4</sub> nanorods (8 nm diameter, 50 nm length, 14 nanorods per bundle) of spinel structure inside the porous silica scaffold, interconnected by short bridges. Suspensions of the silica particles containing Co<sub>3</sub>O<sub>4</sub> clusters (4 % loading) in pH 5.8 aqueous solution were able to oxidize water to O2 upon visible light illumination in the presence of [Ru(bipy)<sub>3</sub>]<sup>2+</sup> as a photosensitizer and peroxodisulfate as the electron acceptor. A 18% quantum efficiency was reported. Remarkable TOF values in the range of 1000 s<sup>-1</sup> per nanocluster were observed, which are about three orders of magnitude greater than those observed using micrometer-sized Co<sub>3</sub>O<sub>4</sub> particles as a consequence of both the larger surface area derived from the nanostructuring of the material and the higher activity of Co surface sites  $(TOF = 0.01 \text{ s}^{-1} \text{ per surface Co site}).$ 

The polyoxometallate  $[\text{Co}_4(\text{H}_2\text{O})_2\{\alpha\text{-PW}_9\text{O}_{34}\}_2]^{10}$  (Figure 25) combined with  $[\text{Ru}(\text{bipy})_3]^{2+}$  also proved active in the course of oxygen photoproduction at pH 8 with peroxodisulfate as the electron acceptor. Quantum yields of 30 % and turnover number of more than 220 were obtained.  $^{[223]}$ 

It should be noted that the experimental conditions used for such photocatalytic assays should be controlled precisely, in particular by well-defined control experiments. For example, Collomb and co-workers observed that  $O_2$  is produced from irradiated pH 3 aqueous solutions of  $[Ru(bipy)_3]^{2+}$  and peroxodisulfate in the absence of any catalyst. [224] In that case, a chloroacetate buffer was used. The pH of the solution may thus play an important role. Furthermore, aqueous solutions of  $S_2O_8^{2-}$  are decomposed under UV irradiation to produce  $O_2$ . [225]

# 5.3.2. Cobalt-Based Photoanodes for O<sub>2</sub> Evolution

There are only very few photoanodes that are based on cobalt compounds. One is the ITO-PTCBI-**52a** electrode, with the n-type organic semiconductor PTCBI (3,4,9,10-perylenetetracarboxylic acid bisbenzimidazole; Figure 27). This electrode consists of a layer of the semiconductor on an ITO-coated glass, covered with a second layer of **52a** acting as a catalyst. Using this organic photoanode coupled to a platinum counter electrode, a continuous water splitting to O<sub>2</sub> and H<sub>2</sub> was observed (pH 11) under exposure to visible light. Using a bias potential of 0.4 V vs Ag/AgCl, the reaction yielded 3500 turnovers per hour. It thus appears that this stable PTCBI-**52a** bilayer, which exploits the capacity of the Co<sup>III</sup>-phthtalocyanine complex to oxidize water to O<sub>2</sub>, could constitute an interesting material for an artificial photosynthetic system.

Cobalt compounds have been also used to enhance the catalytic efficiency of O<sub>2</sub>-evolving photoanodes of photoelectrochemical cells, in which the external power required to

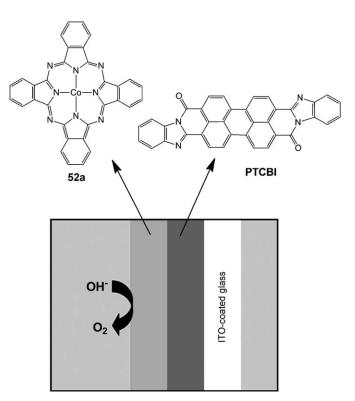


Figure 27. Structure of the perylene derivative/cobalt phthalocyanine 52a bilayer photoanode for the photocatalytic oxidation of water described by Abe et al. [226]



drive the electrocatalyst derives at least partly from a lightharvesting semiconductor substrate. To optimize the utilization of incident light, catalysts for water oxidation should combine high turnover frequencies (on the order of 10 s<sup>-1</sup>) and high surface densities (on the order of 10 catalytic sites per square nanometer) to achieve an ideal surfacic TOF of about 100 s<sup>-1</sup> nm<sup>-2</sup>. [204] Grätzel and co-workers initially demonstrated that a simple impregnation with cobalt nitrate of thin films of Si-doped α-Fe<sub>2</sub>O<sub>3</sub> obtained from atmosphericpressure chemical vapor deposition resulted in a 80 mV cathodic shift of the voltametric curve determined under irradiation, accompanied by a small increase of the photocurrent.[227] Thus adsorption of cobalt ions at the surface of hematite allows a 80 mV reduction of the overpotential for water photooxidation. The latter is however still quite high (ca. 1 V), as the onset of the wave observed under irradiation is at 0.9 V vs NHE in 1<sub>M</sub> NaOH solution.

The catalytic film material described by Nocera and coworkers has been recently exploited in composite photoanodes. It was shown to greatly enhance the efficiency of photoelectrochemical water oxidation when deposited on mesostructured  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub><sup>[228,229]</sup> and ZnO<sup>[230]</sup> photoanodes. In the first case, it resulted in a 500 mV cathodic shift of the onset potential for water oxidation to O<sub>2</sub>, at pH 8, and greatly increased incident photon-to-current efficiencies. [228,229] Thus integration of cobalt oxide film with α-Fe<sub>2</sub>O<sub>3</sub> provides a substantial reduction in the power needed to drive catalysis. In the second case, deposition of cobalt oxide was achieved photochemically. Photogenerated holes in a semiconductor, such as n-type ZnO, have been used to oxidize Co<sup>II</sup> to Co<sup>III</sup> to precipitate cobalt oxide at the surface. [230] By doing so, photodeposition places the cobalt oxide material at the location where the holes are most readily available, namely exactly where O2 evolution is the most effective. The advantage over electrodeposition is enhanced O2 evolution with less catalyst. The new composite photoanode displayed slightly increased photocurrent and overpotential for water photooxidation decreased by 0.2 V. Other composite photoanodes relying on CdS, TiO2 and GaInP2 semiconductors, the latter in tandem configuration with a p,n-GaAs photovoltaic device, have also been described by Nocera and co-workers.[231]

# 6. Summary and Outlook

Cobalt compounds, either as molecular species or threedimensional materials, thus appear to be appealing multielectron catalysts for both reductive (hydrogen production) and oxidative reactions (oxygen production) for the watersplitting process. However, to implement these compounds into practical industrial devices, there are some critical issues to address.

First, high stabilities of catalysts over cycling should not be restricted to neutral conditions, because industrial processes developed for electrolysis are generally run under strongly acidic or alkaline conditions. The stability of photosensitizers must also be improved before considering possible technological transfer. Detailed studies regarding the origin and the

mechanisms of catalyst/photosensitizer decomposition reactions are of critical importance in that respect.

Second, soluble molecular active compounds have to be converted into electrode materials by efficient and cheap methods for grafting them on solid surfaces. [146] It is interesting to note that immobilization of molecular catalysts on nanostructured electrode surfaces might result in increased stability and sometimes increased activity. [84] This is true also for photosensitizers, as demonstrated in the case of ruthenium dyes and TiO<sub>2</sub> in dye-sensitized solar cells. [232]

Third, catalysts have to be coupled to photosensitizers. This has recently led to the development of new light-driven systems for H<sub>2</sub> or O<sub>2</sub> evolution. However these systems are generally assayed in homogeneous solution in the presence of sacrificial electron donors or acceptors. McDaniel and Bernhard recently proposed calculating the power efficiency of such systems as a figure of merit. [233] Such a computation is far from being easy and reliable because it requires the knowledge of thermodynamic data, such as redox potentials, which are difficult to determine in the solvent mixtures commonly used for photocatalysis experiments. Even though such studies facilitate understanding how catalyst-photosensitizer combinations function (factors such as kinetics and mechanisms), we believe that it is premature to consider such homogeneous systems as energy storage devices. In some cases indeed the experimental conditions do not result in net energy storage. [234] For example, the S<sub>2</sub>O<sub>8</sub><sup>2-</sup>/SO<sub>4</sub><sup>2-</sup> couple used in light-driven O2 evolution assays has a potential near 2 V and is thus by itself capable from a thermodynamic standpoint to oxidize water.[235]

Fourth, in most studies, photocatalysis is limited by the photon flux. Comparison of different systems studied under different irradiation conditions thus requires them to be carefully characterized in terms of quantum yield and quantum efficiency, which is not always the case.

Implementation of such photocatalytic systems into molecularly engineered photoelectrode materials remains to be achieved, but the field is now mature, so that it is very likely that a fully molecular photoelectrocatalytic system for overall water splitting will appear in the very next future. The challenge now resides in the determination of the experimental conditions suitable for the concomitant operation of water-oxidizing and water-reducing (photo)catalytic systems.<sup>[236]</sup>

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